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PCT

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jc860 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PHASE OF  
PCT APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495

To: Hon. Commissioner of Patents  
Washington, D.C. 20231



00909

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)

Atty Dkt: P 277098 /Z 70385/UST  
M# /Client Ref.

From: Pillsbury Winthrop LLP, IP Group:

Date: March 7, 2001

This is a **REQUEST** for **FILING** a PCT/USA National Phase Application based on:

- |                              |                              |                                     |
|------------------------------|------------------------------|-------------------------------------|
| 1. International Application | 2. International Filing Date | 3. Earliest Priority Date Claimed   |
| PCT/GB99/02957               | 7 September 1999             | 12 September 1998                   |
| ↑ country code               | Day MONTH Year               | Day MONTH Year                      |
|                              |                              | (use item 2 if no earlier priority) |

4. Measured from the earliest priority date in item 3, this PCT/USA National Phase Application Request is being filed within:

(a) ☐ 20 months from above item 3 date (b) ☒ 30 months from above item 3 date,

(c) Therefore, the due date (unextendable) is March 12, 2001

5. Title of Invention PIPERAZINE-4-PHENYL DERIVATIVES AS INHIBITORS OF THE INTERACTION BETWEEN MDM2 AND P53

6. Inventor(s) LUKE, Richard William Arthur et al

Applicant herewith submits the following under 35 U.S.C. 371 to effect filing:

7. ☒ Please immediately start national examination procedures (35 U.S.C. 371 (f)).
8. ☒ **A copy of the International Application** as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (file if in English but, if in foreign language, file only if not transmitted to PTO by the International Bureau) including:
- a. ☒ Request;
- b. ☒ Abstract;
- c. 51 pgs. Spec. and Claims;
- d. \_\_\_\_\_ sheet(s) Drawing which are ☐ informal ☐ formal of size ☐ A4 ☐ 11"
9. ☒ **A copy of the International Application has been transmitted by the International Bureau.**
10. **A translation of the International Application** into English (35 U.S.C. 371(c)(2))
- a. ☐ is transmitted herewith including: (1) ☐ Request; (2) ☐ Abstract;
- (3) \_\_\_\_\_ pgs. Spec. and Claims;
- (4) \_\_\_\_\_ sheet(s) Drawing which are:
- ☐ informal ☐ formal of size ☐ A4 ☐ 11"
- b. ☐ is not required, as the application was filed in English.
- c. ☐ is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.
- d. ☐ Translation verification attached (not required now).

RE: USA National Filing of PCT /GB99/02957

JC00 Rec'd PCT/PTO 07 MAR 2001

11. ☒ **PLEASE AMEND** the specification before its first line by inserting as a separate paragraph:  
 a. ☒ --This application is the national phase of international application PCT/GB99/02957 filed September 7, 1999 which designated the U.S.--  
 b. ☐ --This application also claims the benefit of U.S. Provisional Application No. 60/\_\_\_\_\_, filed \_\_\_\_\_,--
12. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., **before 18th month from first priority date above in item 3, are transmitted herewith (file only if in English) including:**
13. ☒ PCT Article 19 claim amendments (if any) have been transmitted by the International Bureau
14. ☐ Translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., of **claim amendments made before 18th month, is attached (required by 20th month from the date in item 3 if box 4(a) above is X'd, or 30th month if box 4(b) is X'd, or else amendments will be considered canceled).**
15. **A declaration of the inventor (35 U.S.C. 371(c)(4))**  
 a. ☒ is submitted herewith ☒ Original ☐ Facsimile/Copy  
 b. ☐ is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.
16. **An International Search Report (ISR):**  
 a. Was prepared by ☒ European Patent Office ☐ Japanese Patent Office ☐ Other  
 b. ☒ has been transmitted by the international Bureau to PTO.  
 c. ☒ copy herewith (2 pg(s).) ☒ plus Annex of family members (2 pg(s).).
17. **International Preliminary Examination Report (IPER):**  
 a. ☒ has been transmitted (if this letter is filed after 28 months from date in item 3) in English by the International Bureau with Annexes (if any) in original language.  
 b. ☒ copy herewith in English.  
 c.1 ☒ IPER Annex(es) in original language ("Annexes" are amendments made to claims/spec/drawings during Examination) including attached amended:  
 c.2 ☒ Specification/claim pages #9, 10, 11, 44 - 51 claims # 1 - 17  
 Dwg Sheets # \_\_\_\_\_  
 d. ☐ Translation of Annex(es) to IPER **(required by 30<sup>th</sup> month due date, or else annexed amendments will be considered canceled).**
18. **Information Disclosure Statement including:**  
 a. ☒ Attached Form PTO-1449 listing documents  
 b. ☐ Attached copies of documents listed on Form PTO-1449  
 c. ☒ A concise explanation of relevance of ISR references is given in the ISR.
19. ☒ **Assignment** document and Cover Sheet for recording are attached. Please mail the recorded assignment document back to the person whose signature, name and address appear at the end of this letter.
20. ☐ Copy of Power to IA agent.
21. ☐ **Drawings** (complete only if 8d or 10a(4) not completed): \_\_\_\_ sheet(s) per set: ☐ 1 set informal;  
☐ Formal of size ☐ A4 ☐ 11"
22. Small Entity Status ☐ is **Not** claimed ☐ is claimed (**pre-filing confirmation required**)  
 22(a) \_\_\_\_ (No.) Small Entity Statement(s) enclosed (since 9/8/00 Small Entity Statements(s) not essential to make claim)
23. **Priority** is hereby claimed under 35 U.S.C. 119/365 based on the priority claim and the certified copy, both filed in the International Application during the international stage based on the filing in (country) GREAT BRITAIN of:
- |     | <u>Application No.</u> | <u>Filing Date</u> |     | <u>Application No.</u> | <u>Filing Date</u> |
|-----|------------------------|--------------------|-----|------------------------|--------------------|
| (1) | 9819860.9              | Sept. 12, 1998     | (2) | _____                  | _____              |
| (3) | _____                  | _____              | (4) | _____                  | _____              |
| (5) | _____                  | _____              | (6) | _____                  | _____              |
- a. ☒ See Form PCT/IB/304 sent to US/DO with copy of priority documents. If copy has not been received, **please proceed promptly to obtain same from the IB.**
- b. ☐ Copy of Form PCT/IB/304 attached.

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24. Attached: Form PCT/IB/306

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25. Preliminary Amendment:

25.5 Per Item 17.c2, cancel original pages # \_\_\_\_\_, claims # \_\_\_\_\_, Drawing Sheets # \_\_\_\_\_**26. Calculation of the U.S. National Fee (35 U.S.C. 371 (c)(1)) and other fees is as follows:**Based on amended claim(s) per above item(s) ☐ 12, ☐ 14, ☒ 17, ☐ 25, ☐ 25.5 (hilite)

Total Effective Claims	17	minus 20 =	0	x \$18/\$9	=	\$0	966/967
Independent Claims	7	minus 3 =	4	x \$80/\$40	=	\$320	964/965
If any proper (ignore improper) Multiple Dependent claim is present,				add \$270/\$135	+0		968/969

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4)): →→ BASIC FEE REQUIRED, NOW →→→→**A. If country code letters in item 1 are not "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA"

See item 16 re:

1. Search Report was <u>not</u> prepared by EPO or JPO	-----	add \$1000/\$500		960/961
2. Search Report was prepared by EPO or JPO	-----	add \$860/\$430	+860	970/971

**SKIP B, C, D AND E UNLESS country code letters in item 1 are "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA"**

(X) → <input type="checkbox"/> B.	If <u>USPTO</u> did not issue <u>both</u> International Search Report (ISR) <u>and</u> (if box 4(b) above is X'd) the International Examination Report (IPER), -----	add \$970/\$485	+0	960/961
(only) (one) → <input type="checkbox"/> C.	If <u>USPTO</u> issued ISR but not IPER (or box 4(a) above is X'd), -----	add \$710/\$355	+0	958/959
(these) (4) → <input type="checkbox"/> D.	If <u>USPTO</u> issued IPER but IPER Sec. V boxes <u>not all</u> 3 YES, -----	add \$690/\$345	+0	956/957
→ <input type="checkbox"/> E.	If international preliminary examination fee was paid to <u>USPTO</u> <u>and</u> Rules 492(a)(4) and 496(b) <u>satisfied</u> (IPER Sec. V <u>all</u> 3 boxes YES for <u>all</u> claims), -----	add \$100/\$50	+0	962/963

27. **SUBTOTAL =** \$118028. If Assignment box 19 above is X'd, add Assignment Recording fee of ----\$40 +40 (581)29. Attached is a check to cover the ----- **TOTAL FEES** \$1220

Our Deposit Account No. 03-3975

Our Order No.	9901	277098
	C#	M#



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**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 and 492 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

**This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed**

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

By Atty: Donald J. BirdReg. No. 25323Sig: [Signature]

Fax: (202) 822-0944  
 Tel: (202) 861-3027

Atty/Sec: DJB/mhn**NOTE:** File in duplicate with 2 postcard receipts (PAT-103) & attachments.

PIPERIZINE-4-PHENYL DERIVATIVES AS INHIBITORS OF THE INTERACTION BETWEEN MDM2 AND 53

This invention relates to compounds which inhibit the interaction between MDM2  
5 and the tumour suppressor protein, p53. This invention also relates to processes for the  
manufacture of MDM2/p53 interaction inhibitors and pharmaceutically acceptable salts,  
prodrugs or solvates thereof, to novel pharmaceutical compositions containing them and to the  
use of the compounds as probes of MDM2 and p53 function.

p53 is a transcription factor which plays a pivotal role in the regulation of the balance  
10 between cell proliferation and cell growth arrest/apoptosis. Under normal conditions the half  
life of p53 is very short and consequently the level of p53 in cells is low. However, in  
response to cellular DNA damage or cellular stress, levels of p53 increase. This increase in  
p53 levels leads to the activation of the transcription of a number of genes which induces the  
cell to either growth arrest or to undergo the processes of apoptosis. Thus the function of p53  
15 is to prevent the proliferation of transformed cells and thus protect the organism from the  
development of cancer (for a review see Levine 1997, Cell 88, 323-331).

MDM2 is a key negative regulator of p53 function, which binds to the amino terminal  
transactivation domain of p53. MDM2 both inhibits the ability of p53 to activate transcription  
and targets p53 for proteolytic degradation, thus maintaining the low levels of p53 under  
20 normal conditions. MDM2 may also have separate functions in addition to inhibition of p53.  
For example, MDM2 also binds another tumour suppressor protein, the retinoblastoma gene  
product, and inhibits its ability to activate transcription. For reviews of MDM2 function see:  
Piette et al (1997) Oncogene 15, 1001-1010; Lane and Hall (1997) TIBS 22, 372-374; Lozano  
and de Oca Luna (1998) Biochim Biophys Acta 1377, M55-M59.

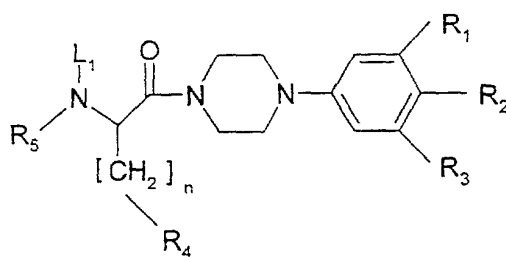
25 MDM2 is a cellular proto-oncogene. Overexpression of MDM2 has been observed in a  
range of cancers see Momand and Zambetti (1997) J. Cell. Biochem 64, 343-352. The  
mechanism by which MDM2 amplification promotes tumourigenesis is at least in part related  
to its interaction with p53. In cells over-expressing MDM2 the protective function of p53 is  
blocked and thus cells are unable to respond to DNA damage or cellular stress by increasing  
30 p53 levels, leading to cell growth arrest and/or apoptosis. Thus after DNA damage and/or  
cellular stress cells over-expressing MDM2 are free to continue to proliferate and assume a

tumourigenic phenotype. Under these conditions disruption of the interaction of p53 and MDM2 would release the p53 and thus allow the normal signals of growth arrest and/or apoptosis to function. Thus disruption of the interaction of MDM2 and p53 offers an approach for therapeutic intervention in cancer.

- 5 A few patent applications have been published which describe peptide inhibitors of the interaction of p53 and MDM2, see: International Patent Application, WO9602642, University of Dundee; International Patent Application WO 9801467, Novartis & Cancer Research Campaign Technology Ltd.; and International Patent Application WO 9709343.

We have discovered a novel class of small molecule compounds which inhibit the  
10 interaction of MDM2 and p53. These compounds are useful as probes of MDM2 and p53 function and may be useful as agents for the treatment of cancer.

According to the invention there is provided a compound of formula (1):



formula (1)

15

wherein :

$L_1$  is hydrogen or methyl;

$R_1$  and  $R_2$  and  $R_3$  are each independently hydrogen, halo, nitro, cyano, carbamoyl,

$\underline{N}$ -( $C_{1-4}$ alkyl)carbamoyl,  $\underline{NN}$ -(di $C_{1-4}$ alkyl)carbamoyl or  $C_{1-4}$ alkoxycarbonyl;

- 20  $R_4$  is indole,  $\underline{N}$ -( $C_{1-4}$  alkyl) indole,  $C_{5-7}$ carbocyclic ring or aryl, any of which can be optionally substituted on ring carbon atoms with up to three substituents each independently selected from halo,  $C_{1-4}$ alkyl, or  $C_{1-4}$ alkoxy;

$R_5$  is hydrogen,  $C_{1-4}$ alkyl,  $R_6CH_2-$  or  $R_6C(O)-$ ;

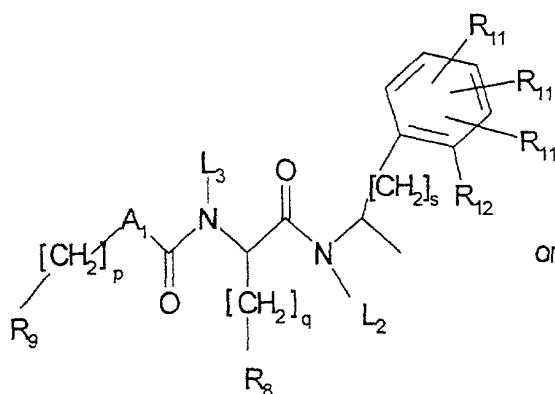
$R_6$  is aryl, heteroaryl, heterocyclyl, amino $C_{3-6}$ alkyl,  $\underline{N}$ -( $C_{1-4}$ alkyl)amino $C_{3-6}$ alkyl,

- 25  $\underline{NN}$ -(di $C_{1-4}$ alkyl)amino $C_{3-6}$ alkyl, or  $R_7$ ; wherein the aryl, heteroaryl or heterocyclyl rings may be optionally substituted with up to three substituents independently selected from nitro,  $C_{1-4}$ alkyl,  $C_{1-4}$ alkoxy, halo, ( $C_{1-4}$ alkyl)sulfanyl,  $C_{1-4}$ alkoxycarbonyl,

- 3 -

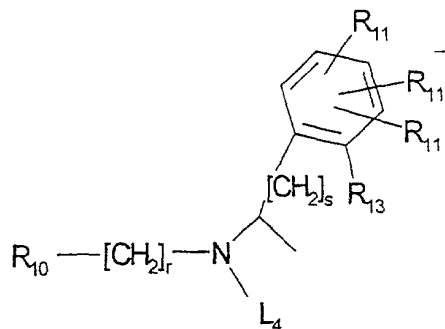
N-(C<sub>1-4</sub> alkyl)carbamoyl, NN-(diC<sub>1-4</sub> alkyl)carbamoyl, N-(C<sub>1-4</sub> alkyl)amino or NN-(diC<sub>1-4</sub> alkyl)amino;

wherein R<sub>7</sub> is either a group of formula (2) of formula (3):



Formula (2)

or



Formula (3)

wherein:

- 10 L<sub>2</sub>, L<sub>3</sub> and L<sub>4</sub> are each independently hydrogen or methyl;
- R<sub>8</sub> is amino, guanadino, imidazolo, any of which can be mono or di-N-substituted with C<sub>1-4</sub>alkyl;
- A<sub>1</sub> is oxygen or a direct bond;
- R<sub>9</sub> is a C<sub>5-8</sub> membered mono-carbocyclic ring, a C<sub>6-10</sub> membered bi-carbocyclic ring,
- 15 C<sub>8-12</sub> membered tri-carbocyclic ring, C<sub>5-7</sub>alkyl or aryl, any of which can be optionally mono, bi or tri substituted by C<sub>1-4</sub> alkyl;
- R<sub>10</sub> is C<sub>1-6</sub>alkyl or a C<sub>3-8</sub>mono-carbocyclic ring;
- R<sub>11</sub> is hydrogen, halo, C<sub>1-4</sub>alkyl, or C<sub>1-4</sub>alkoxy;
- R<sub>12</sub> is hydrogen or methyl or ethyl or R<sub>12</sub> together with L<sub>2</sub> forms a C<sub>5-7</sub> nitrogen-
- 20 containing heterocyclic ring;
- R<sub>13</sub> is hydrogen or methyl ethyl or R<sub>13</sub> together with L<sub>4</sub> forms a C<sub>5-7</sub> nitrogen-containing heterocyclic ring;
- n is 0, 1 or 2;
- p is 0, 1 or 2;
- 25 q is an integer from 1 to 6

r is 0, 1 or 2;

s is 0, 1 or 2;

provided that when  $R_6$  is aryl, heteroaryl, heterocyclyl, amino $C_{3-6}$ alkyl,

N-( $C_{1-4}$ alkyl)amino $C_{3-6}$ alkyl or NN-(di $C_{1-4}$ alkyl)amino $C_{3-6}$ alkyl then  $R_4$  is other than

5  $R_6CH_2-$ ; and when  $R_1$  is hydrogen,  $R_2$  is hydrogen,  $R_3$  is hydrogen,  $L_1$  is hydrogen, n is 1,

$R_4$  is phenyl,  $R_5$  is  $R_6C(O)-$ , then  $R_6$  cannot be 2-methyl-4-amino-butyl,

or a pharmaceutically acceptable salt, prodrug or solvate thereof.

In this specification the generic term "**alkyl**" includes both straight-chain and branched-chain alkyl groups. However references to individual alkyl groups such as "propyl" are specific for the straight-chain version only and references to individual branched-chain alkyl groups such as "isopropyl" are specific for the branched-chain version only. An analogous convention applies to other generic terms.

The term "**aryl**" refers to phenyl or naphthyl.

The term "**heteroaryl**" refers to a 5-10 membered aromatic mono or bicyclic ring containing up to 5 heteroatoms selected from nitrogen, oxygen or sulphur. Examples of 5- or 6-membered heteroaryl ring systems include imidazole, triazole, pyrazine, pyrimidine, pyridazine, pyridine, isoxazole, oxazole, isothiazole, thiazole, furan, pyrazole, 1,2,3-thiadiazole and thiophene. A 9 or 10 membered bicyclic heteroaryl ring system is an aromatic bicyclic ring system comprising a 6-membered ring fused to either a 5 membered ring or another 6 membered ring. Examples of 5/6 and 6/6 bicyclic ring systems include benzofuran, benzimidazole, benzthiophene, benzthiazole, benzisothiazole, benzoxazole, benzisoxazole, pyridoimidazole, pyrimidoimidazole, quinoline, isoquinoline, quinoxaline, quinazoline, phthalazine, cinnoline, 4-oxo-4H-1-benzopyran and naphthyridine.

The term "**heterocyclyl**" refers to a 5-10 membered non-aromatic mono or bicyclic ring containing up to 5 heteroatoms selected from nitrogen, oxygen or sulphur. Examples of 'heterocyclyl' include pyrrolinyl, pyrrolidinyl, morpholinyl, piperidinyl, piperazinyl, dihydropyridinyl and dihydropyrimidinyl

The term "**carbocyclic ring**" refers to a totally saturated or partially saturated mono, bi or tri cyclic carbon ring. Examples of carbocyclic rings are cyclopentyl, cyclohexyl, cyclopentyl, bicyclo-octane or adamantyl.

The term "**halo**" refers to fluorine, chlorine, bromine or iodine.

The term **carbamoyl** refers to  $-C(O)NH_2$ .

The term "**warm-blooded animal**" includes human.

Examples of **C<sub>1-4</sub>alkyl** include methyl, ethyl, propyl, isopropyl, *sec*-butyl and *tert*-butyl; examples of **C<sub>1-4</sub>alkoxy** include methoxy, ethoxy and propoxy; examples of

- 5 **C<sub>1-4</sub>alkanoyl** include formyl, acetyl and propionyl; examples of **C<sub>1-4</sub>alkylamino** include methylamino, ethylamino, propylamino, isopropylamino, *sec*-butylamino and *tert*-butylamino; examples of **di-(C<sub>1-4</sub>alkyl)amino** include di-methylamino, di-ethylamino and *N*-ethyl-*N*-methylamino; examples of ***N*-(C<sub>1-4</sub>alkyl)aminoC<sub>1-4</sub>alkyl** include *N*-methyl-aminomethyl and *N*-ethyl-aminoethyl, and examples of ***NN*-di(C<sub>1-4</sub>alkyl)aminoC<sub>1-4</sub>alkyl**
- 10 include: ***NN*-dimethyl-aminomethyl** and ***N*-methyl-*N*-ethyl aminomethyl**.

- Examples of suitable values for R<sub>1</sub>, R<sub>2</sub> or R<sub>3</sub> include hydrogen, halo, nitro, carbamoyl, , ***N*-(C<sub>1-4</sub>alkyl)carbamoyl**, ***NN*-(diC<sub>1-4</sub>alkyl)carbamoyl** or **C<sub>1-4</sub>alkoxycarbonyl**. Preferably hydrogen, halo, nitro, carbamoyl, ***N*-ethylcarbamoyl**, ***NN*-dimethylcarbamoyl**, ***N*-methyl-*N*-ethylcarbamoyl**, methoxycarbonyl or ethoxycarbonyl. More preferably hydrogen,
- 15 halo or nitro. More preferably hydrogen, chloro or nitro. Most preferably either R<sub>1</sub> is halo, preferably chloro, R<sub>2</sub> is halo, preferably chloro and R<sub>3</sub> is hydrogen or R<sub>1</sub> is hydrogen, R<sub>2</sub> is nitro and R<sub>3</sub> is hydrogen.

- Examples of suitable values for R<sub>4</sub> include indole, ***N*-(C<sub>1-4</sub>alkyl) indole**, C<sub>5-7</sub>carbocyclic ring or aryl, either of which can be optionally substituted by halo, C<sub>1-4</sub>alkyl, or C<sub>1-4</sub>alkoxy.
- 20 Preferably R<sub>4</sub> is indole, ***N*-methylindole**, cyclopentyl, cyclohexyl, phenyl or naphthyl, optionally substituted as defined above. More preferably R<sub>4</sub> is indole, ***N*-methylindole**, cyclohexyl, or phenyl, optionally substituted as defined above. More preferably R<sub>4</sub> is indole, ***N*-methylindole**, or phenyl, optionally substituted as defined above. More preferably R<sub>4</sub> is phenyl, optionally substituted as defined above. Most preferable R<sub>4</sub> is phenyl substituted with
- 25 halo, preferably chloro.

Examples of suitable values for R<sub>5</sub> include hydrogen, C<sub>1-4</sub>alkyl, R<sub>6</sub>CH<sub>2</sub>- or R<sub>6</sub>C(O)-. Preferably R<sub>5</sub> is hydrogen, methyl, R<sub>6</sub>CH<sub>2</sub>- or R<sub>6</sub>C(O)-. Most preferably R<sub>5</sub> is R<sub>6</sub>C(O)-.

- Examples of suitable values for R<sub>6</sub> include aryl, heteroaryl, heterocyclyl, amino-C<sub>3-6</sub>alkyl, ***N*-(C<sub>1-4</sub>alkyl)aminoC<sub>3-6</sub>alkyl**, ***NN*-(diC<sub>1-4</sub>alkyl)aminoC<sub>3-6</sub>alkyl**, or R<sub>7</sub>. Preferably R<sub>6</sub> is
- 30 aryl, heteroaryl, heterocyclyl, (***NN*-dimethyl**)aminopropyl or R<sub>7</sub>. More preferably R<sub>6</sub> is aryl,



heteroaryl, heterocyclyl or  $R_7$ . More preferably  $R_6$  is aryl and heteroaryl. Most preferably  $R_6$  is aryl, preferably phenyl.

Examples of substituents on the aryl ring systems of  $R_6$  include nitro,  $C_{1-4}$ alkyl,  $C_{1-4}$ alkoxy, halo,  $(C_{1-4}$ alkyl)sulfanyl. Preferably nitro,  $C_{1-4}$ alkyl,  $C_{1-4}$ alkoxy or methylsulfanyl.

- 5 More preferably nitro or  $C_{1-4}$ alkoxy. Most preferably nitro or methoxy. More preferable substitution patterns on a phenyl group in  $R_6$  are 2-nitro-4,5-dimethoxy, 2-methyl-3-nitro, 2-methoxy, 2,4-dimethyl, 4,5-dimethoxy-2-nitro or 2-methylsulfanyl. Most preferred is 2-nitro-4,5-dimethoxy.

- Examples of suitable values for heteroaryl rings at  $R_6$  include: furan, thiophene,  
 10 pyrrole, pyrazole, isoxazole, thiazole, thiadiazole, pyridine, pyridazine, benzofuran, 4-oxo 4H-1-benzopyran. Heteroaryl ring systems with one or two heteroatoms are preferred. Heteroaryl ring systems with one heteroatom are most preferred.

Examples of suitable values for substituents on ring carbon atoms in heterocyclic rings of  $R_6$  include,  $C_{1-4}$ alkyl,  $C_{1-4}$ alkoxy, halo, hydroxy, oxo or  $C_{1-4}$ alkylcarbonyl.

- 15 Examples of suitable values for  $R_8$  include amino, guanadino and imidazolo, any of which can be mono or di-N-substituted with  $C_{1-4}$ alkyl. More preferably  $R_8$  is guanadino or amino, substituted as defined above. Preferably  $R_8$  is N-( $C_{1-4}$ alkyl)amino or amino. Most preferably  $R_8$  is amino.

- Examples of suitable values for  $R_9$  include  $C_{3-7}$ alkyl, a  $C_{5-10}$  membered carbocyclic ring  
 20 and aryl, any of which can be optionally substituted with  $C_{1-4}$ alkyl. Preferably  $R_9$  is 3-propylbutyl, 2,2 dimethylpropyl, a  $C_{5-10}$  membered carbocyclic ring, or aryl. More preferably  $R_9$  is cyclohexyl, cycloheptyl, adamantyl or aryl. Even more preferably  $R_9$  is cyclohexyl or aryl. Yet more preferably  $R_9$  is aryl. Most preferably  $R_9$  is phenyl.

- Examples of suitable values for  $R_{10}$  include  $C_{1-4}$ alkyl or a  $C_{3-7}$ carbocyclic ring. More  
 25 preferably  $R_{10}$  is a  $C_{3-7}$ carbocyclic ring or a branched  $C_{1-4}$ alkyl chain. Even more preferably  $R_{10}$  is a  $C_{3-7}$ carbocyclic ring. Most preferably  $R_{10}$  is cyclohexyl.

Examples of suitable values for  $R_{11}$  include hydrogen, halo,  $C_{1-4}$ alkyl, or  $C_{1-4}$ alkoxy. More preferably  $R_{11}$  is hydrogen or  $C_{1-4}$ alkyl. Most preferably  $R_{11}$  is hydrogen.

Examples of suitable values for  $R_{12}$  are hydrogen or methyl or when  $R_{12}$  is  $-\text{CH}_2-$ ,  $L_2$  is hydrogen and  $s$  is 1,  $R_{12}$  together with  $L_2$  forms a six membered nitrogen containing ring. Preferably  $R_{12}$  and  $L_2$  are both hydrogen.

Examples of suitable values for  $R_{13}$  are hydrogen or methyl or when  $R_{13}$  is  $-\text{CH}_2-$ ,  $L_4$  is hydrogen and  $s$  is 1,  $R_{13}$  together with  $L_4$  forms a six membered nitrogen containing ring. Preferably  $R_{13}$  and  $L_4$  are both hydrogen.

Preferably  $n$  is 1

Preferably  $p$  is 1

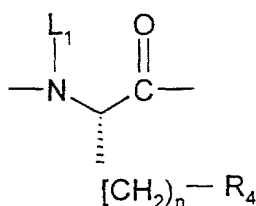
Preferably  $q$  is 2 - 4. Most preferable  $q$  is 4.

10 Preferably  $r$  is 1.

Preferably  $s$  is 1.

It is to be understood that, insofar as certain of the compounds of Formula (1) defined above may exist in optically active or racemic forms by virtue of one or more asymmetric carbon atoms, the invention includes in its definition any such optically active or racemic form which possesses the property of inhibiting MDM2 interactions. The synthesis of optically active forms may be carried out by standard techniques of organic chemistry well known in the art, for example by synthesis from optically active starting materials or by resolution of a racemic form. Similarly, inhibitory properties against MDM2 interactions may be evaluated using the standard laboratory techniques referred to hereinafter.

20 When  $R_6$  is other than  $R_7$ , then the following stereo-chemistry is preferred, in the group  $-\text{N}(L_1)\text{CH}((\text{CH}_2)_n R_4)\text{CO}-$ , in formula (1):



Formula (X)

A particular group of compounds of the invention include for example, a compound of formula (1) wherein  $R_5$  is hydrogen or  $C_{1-4}$  alkyl, or a pharmaceutically acceptable salt, prodrug or solvate thereof, wherein either:

- (a)  $R_1$  is hydrogen;  $R_2$  is nitro;  $R_3$  is hydrogen;  $R_4$  is indole,  $\underline{N}$ -( $C_{1-4}$ alkyl)indole or cyclohexyl;  $R_5$  is hydrogen or methyl;  $L_1$  is hydrogen and  $n$  is 1 or 2; and where the optical centre represented in the Formula (X) is in the S configuration; or
- (b)  $R_1$  is halo;  $R_2$  is halo;  $R_3$  is hydrogen;  $R_4$  is indole,  $\underline{N}$ -( $C_{1-4}$ alkyl)indole or cyclohexyl;  $R_5$  is hydrogen or methyl;  $L_1$  is hydrogen and  $n$  is 1 or 2; and where the optical centre represented in the Formula (X) is in the S configuration.

A further preferred group of compounds of the invention include, for example a compound of formula (1) wherein  $R_5$  is  $R_6C(O)-$  or  $R_6CH_2-$ , or a pharmaceutically acceptable salt, prodrug or solvate thereof, wherein either:

- 10 (a)  $R_1$  is hydrogen;  $R_2$  is nitro;  $R_3$  is hydrogen;  $R_4$  is indole;  $\underline{N}$ -( $C_{1-4}$ alkyl)indole, halo-phenyl or cyclohexyl;  $R_5$  is  $R_6C(O)-$  or  $R_6CH_2-$ ;  $R_6$  is substituted phenyl;  $L_1$  is hydrogen or methyl and  $n$  is 1 or 2, ; and where the optical centre represented in the Formula (X) is in the S configuration; or
- (b)  $R_1$  is hydrogen;  $R_2$  is nitro;  $R_3$  is hydrogen;  $R_4$  is indole;  $\underline{N}$ -( $C_{1-4}$ alkyl)indole; halo-phenyl or cyclohexyl;  $R_5$  is  $R_6C(O)-$  or  $R_6CH_2-$ ;  $R_6$  is a substituted heterocycle;  $L_1$  is hydrogen or methyl and  $n$  is 1 or 2, ; and wherein the optical centre represented in the Formula (X) is in the S configuration.

- A further preferred group of compounds of the invention include, for example a compound of formula (1) wherein  $R_5$  is  $R_6C(O)-$  and  $R_6$  is  $R_7$ , or a pharmaceutically acceptable salt, prodrug or solvate thereof, wherein either:

- (a)  $R_1$  is hydrogen;  $R_2$  is nitro;  $R_3$  is hydrogen;  $R_4$  is indole;  $\underline{N}$ -( $C_{1-4}$ alkyl)indole; cyclohexyl or halo-phenyl;  $R_5$  is  $R_6C(O)-$ ;  $R_6$  is  $R_7$ ;  $R_7$  is of formula (2);  $R_8$  is amino;  $R_9$  is an optionally substituted aryl group;  $R_{11}$  is hydrogen;  $A_1$  is oxygen or a bond;  $n$  is 1 or 2;  $p$  is 1;  $q$  is 2-4 and  $L_1$  and  $L_2$  and  $L_3$  are independently hydrogen or methyl; or
- 25 (b)  $R_1$  is hydrogen;  $R_2$  is nitro;  $R_3$  is hydrogen;  $R_4$  is indole;  $\underline{N}$ -( $C_{1-4}$ alkyl)indole; cyclohexyl or halo-phenyl;  $R_5$  is  $R_6C(O)-$ ;  $R_6$  is  $R_7$ ;  $R_7$  is of formula (2);  $R_8$  is amino;  $R_9$  is an optionally substituted heterocyclic group;  $R_{11}$  is hydrogen;  $A_1$  is oxygen or a bond;  $n$  is 1 or 2;  $p$  is 1;  $q$  is 2-4 and  $L_1$  and  $L_2$  and  $L_3$  are independently hydrogen or methyl.

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A further preferred group of compounds of the invention include, for example a compound of formula (1) wherein  $R_5$  is  $R_6CH_2-$  and  $R_6$  is  $R_7$ , or a pharmaceutically acceptable salt, prodrug or solvate thereof, wherein:

(a)  $R_1$  is hydrogen;  $R_2$  is nitro;  $R_3$  is hydrogen;  $R_4$  is indole;  $N-(C_{1-4}alkyl)indole$ ; cyclohexyl or halo-phenyl;  $R_5$  is  $R_6CH_2-$ ;  $R_6$  is  $R_7$ ;  $R_7$  is of formula (3);  $R_{10}$  is either a  $C_{3-7}$  carbocyclic ring or a  $C_{1-4}alkyl$  chain;  $R_{11}$  is hydrogen;  $L_4$  is hydrogen or methyl and  $r$  is 1.

A more preferred compound of the invention is a compound of formula (1) wherein  $R_5$  is  $R_6C(O)-$  and  $R_6$  is a substituted phenyl group, or a pharmaceutically acceptable salt, prodrug or solvate thereof, wherein:

$R_1$  is hydrogen;  $R_2$  is nitro;  $R_3$  is hydrogen;  $R_4$  is indole;  $N-(C_{1-4}alkyl)indole$ ; or 4-chloro-phenyl;  $R_5$  is  $R_6C(O)-$ ;  $R_6$  is 4,5-dimethoxy-2-nitrophenyl;  $L_1$  is hydrogen or methyl;  $n$  is 1 and where the optical centre represented in the Formula (X) is in the S configuration.

A further more preferred compound of the invention is a compound of formula (1) wherein  $R_5$  is  $R_6C(O)-$  and  $R_6$  is  $R_7$  and  $R_7$  is of formula (2), or a pharmaceutically acceptable salts, prodrugs or solvates thereof, wherein:

$R_1$  is hydrogen;  $R_2$  is nitro;  $R_3$  is hydrogen;  $R_4$  is indole;  $N-(C_{1-4}alkyl)indole$ ; phenyl; 4-chloro-phenyl or cyclohexyl;  $R_5$  is  $R_6C(O)-$ ;  $R_6$  is  $R_7$ ;  $R_7$  is of formula (2);  $R_8$  is amino;  $A_1$  is oxygen or a bond;  $R_9$  is phenyl or cyclohexyl;  $R_{11}$  is hydrogen;  $L_1$ ,  $L_2$  and  $L_3$  are independently hydrogen or methyl;  $n$  is 1;  $q$  is 2 - 4 and  $p$  is 0-1.

Particular compounds of the invention are:

2-methyl-3-nitrobenzoyl-Trp-piperazine-4-nitrophenyl;  
2,4-dimethyl-benzoyl-Trp-piperazine-4-nitrophenyl;  
5-bromo-2-furoyl-Trp-piperazine-4-nitrophenyl;  
2-methoxybenzoyl-Trp-piperazine-4-nitrophenyl;  
dimethoxynitrobenzoyl-Trp-piperazine-4-nitrophenyl;  
2-methyl-3-furoyl-Trp-piperazine-4-nitrophenyl;  
thiophene-3-carboxyl-Trp-piperazine-4-nitrophenyl;  
2-(methylthio)-nicotinoyl-Trp-piperazine-4-nitrophenyl;  
3-chlorothiophene-2-carboxyl-Trp-piperazine-4-nitrophenyl;  
2,5-dimethoxybenzoyl-Trp-piperazine-4-nitrophenyl;

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2-benzofuroyl-Trp-piperazine-4-nitrophenyl;  
N-methylpyrrole-2-carboxyl-Trp-piperazine-4-nitrophenyl;  
2-bromo-5-methoxybenzoyl-Trp-piperazine-4-nitrophenyl;  
thiophene-2-carboxyl-Trp-piperazine-4-nitrophenyl;  
5-chlorothiophene-2-carboxyl-Trp-piperazine-4-nitrophenyl;  
2-methoxy-4-nitrobenzoyl-Trp-piperazine-4-nitrophenyl;  
2-furylcarbonyl-Trp-piperazine-4-nitrophenyl;  
6-methylpicoliny-Trp-piperazine-4-nitrophenyl;  
3-methoxy-2-nitrobenzoyl-Trp-piperazine-4-nitrophenyl;  
Dimethoxynitrobenzoyl-(D)Trp-piperazine-4-nitrophenyl;  
4-oxo-4H-1-benzopyran-2-carboxyl-Trp-piperazine-4-nitrophenyl;  
5-nitro-2-furoyl-Trp-piperazine-4-nitrophenyl;  
1,2,3-thiadiazole-4-carboxyl-Trp-piperazine-4-nitrophenyl;  
Z-Lys-(NMe)Phe-Trp-piperazine-4-nitrophenyl;  
cyclohexylacetyl-(D)Lys-(D)NMe-Phe-(D)Trp-piperazine-4-nitrophenyl;  
adamantaneacetyl-(D)Lys-(D)NMe-Phe-(D)Trp-piperazine-4-nitrophenyl;  
cycloheptanoyl-(D)Lys-(D)NMe-Phe-(D)Trp-piperazine-4-nitrophenyl;  
2-propylpentanoyl-(D)Lys-(D)NMe-Phe-(D)Trp-piperazine-4-nitrophenyl;  
Z-(D)NMe-Lys-(D)NMe-Phe-(D)Trp-piperazine-4-nitrophenyl;  
Me<sub>2</sub>CHCH<sub>2</sub>-(D,L)NMe-Phe{CH<sub>2</sub>NH}(D)Trp-piperazine-4-nitrophenyl;  
Z-LYS-(NMe)Phe-(D)Trp-piperazine-4-nitrophenyl;  
cyclohexyl-(D)Lys-(D)(NMe)Phe-(D)Trp-piperazine-4-nitrophenyl;  
T-butylacetyl-(D)Lys-(D)NMePhe-(D)Trp-piperazine-4-nitrophenyl;  
cyclohexyl-(D)Lys-(D)NMe-Phe-(D)Phe(4-Cl)-piperazine-dichlorophenyl; and  
cyclohexyl-(D)Lys-(D)NMePhe-(D)Tyr(Et)-piperazine-4-nitrophenyl;  
or a pharmaceutically acceptable salt, prodrug or solvate thereof.

Further particular compounds of the invention are:

4,5-dimethoxy-2-nitrobenzoyl-Phe(4-Cl)-piperazine-4-nitrophenyl;  
4,5-dimethoxy-2-nitrobenzoyl-NMe-Trp-piperazine-4-nitrophenyl;  
4,5-dimethoxy-2-nitrobenzoyl-(D)(N<sup>tr</sup>-Me)Trp-piperazine-4-nitrophenyl;  
Z-(D)(NMe)Dab-(NMe)(D)Phe-(D)Trp-piperazine-4-nitrophenyl;

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Z-NMe(D)Lys-NMe(D)Phe-(D)Phe(4-Cl)-piperazine-4-nitrophenyl;  
cyclohexyl-CO-(D)Lys-(D)NMe-Phe-Cha-piperazine-4-nitrophenyl;  
cyclohexyl-(D)Lys-(D)(NMe)Phe-(D)Phe(4-Cl)-piperazine-4-nitrophenyl;  
cyclohexyl-CH<sub>2</sub>-(D,L)NMe-Phe{CH<sub>2</sub>NH}(D)Trp-piperazine-4-nitrophenyl; and  
cyclohexyl-(D)Lys-(D)(NMe)Phe-(D)hPhe-piperazine-4-nitrophenyl;  
or a pharmaceutically acceptable salt, prodrug or solvate thereof.

A suitable pharmaceutically-acceptable salt of a compound of the Formula (1) is, for example, an acid-addition salt of a compound of the Formula (1) which is sufficiently basic, for example an acid-addition salt with an inorganic or organic acid such as hydrochloric, hydrobromic, sulphuric, trifluoroacetic, citric or maleic acid; or, for example a salt of a compound of the Formula (1) which is sufficiently acidic, for example an alkali or alkaline earth metal salt such as a calcium or magnesium salt, or an ammonium salt, or a salt with an organic base such as methylamine, dimethylamine, trimethylamine, piperidine, morpholine or tris-(2-hydroxyethyl)amine.

Various forms of prodrugs are known in the art, for example in-vivo hydrolysable esters.

In vivo hydrolysable derivatives include, in particular, pharmaceutically acceptable derivatives that may be oxidised or reduced in the human body to produce the parent compound or esters that hydrolyse in the human body to produce the parent compound. Such esters can be identified by administering, for example, intravenously to the test animal, the compound under test and subsequently examining the test animal's body fluids. Suitable in vivo hydrolysable esters for hydroxy include acetyl and for carboxyl include, for example, alkyl esters, dialkylaminoalkoxy esters, esters of formula -C(O)-O-CH<sub>2</sub>C(O)NRa"Rb" where Ra" and Rb" are, for example, selected from hydrogen and C1-4 alkyl, and C1-6alkoxy methyl esters for example methoxymethyl, C1-6alkanoyloxymethyl esters for example pivaloyloxymethyl, phthalidyl esters, C3-8 cycloalkoxycarbonyloxyC1-6alkyl esters for example 1-cyclohexylcarbonyloxyethyl; 1,3-dioxolan-2-ylmethyl esters for example 5-methyl-1,3-dioxolan-2-ylmethyl; and C1-6alkoxycarbonyloxyethyl esters for example 1-methoxycarbonyloxyethyl.

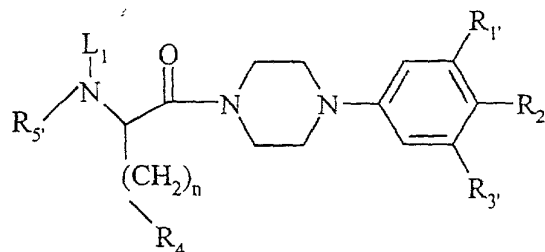
For examples of prodrug derivatives, see:

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- a) Design of Prodrugs, edited by H. Bundgaard, (Elsevier, 1985) and Methods in Enzymology, Vol. 42, p. 309-396, edited by K. Widder, *et al.* (Academic Press, 1985);
- b) A Textbook of Drug Design and Development, edited by Krogsgaard-Larsen and H. Bundgaard, Chapter 5 "Design and Application of Prodrugs", by H. Bundgaard —  
5 p. 113-191 (1991);
- c) H. Bundgaard, Advanced Drug Delivery Reviews, 8, 1-38 (1992);
- d) H. Bundgaard, *et al.*, Journal of Pharmaceutical Sciences, 77, 285 (1988); and
- e) N. Kakeya, *et al.*, Chem Pharm Bull, 32, 692 (1984).

10 It will also be understood that certain compounds of the present invention may exist in solvated, for example hydrated, as well as unsolvated forms. It is to be understood that the present invention encompasses all such solvated forms which possess the property of inhibiting MDM2 interactions.

In another aspect the present invention provides a process for preparing a compound of  
15 the Formula (1) or a pharmaceutically acceptable salt, prodrug or ester thereof which process comprises deprotecting a compound of the Formula (7) :



Formula (7)

wherein n, L<sub>1</sub> and R<sub>4</sub> are as hereinabove defined and R<sub>1</sub>' is R<sub>1</sub> or protected R<sub>1</sub>, R<sub>2</sub>' is R<sub>2</sub> or  
20 protected R<sub>2</sub>, R<sub>3</sub>' is R<sub>3</sub> or protected R<sub>3</sub> and R<sub>5</sub>' is R<sub>5</sub> or protected R<sub>5</sub>; wherein at least one protecting group is present; and thereafter if necessary:

- i) forming a pharmaceutically-acceptable salt,
- ii) forming a prodrug, and/or
- iii) forming a solvate.

25 Protecting groups may in general be chosen from any of the groups described in the literature or known to the skilled chemist as appropriate for the protection of the group in question, and may be introduced by conventional methods.

Protecting groups may be removed by any convenient method as described in the literature or known to the skilled chemist as appropriate for the removal of the protecting group in question, such methods being chosen so as to effect removal of the protecting group with minimum disturbance of groups elsewhere in the molecule.

5        Specific examples of protecting groups are given below for the sake of convenience, in which "lower" signifies that the group to which it is applied preferably has 1-4 carbon atoms. It will be understood that these examples are not exhaustive. Where specific examples of methods for the removal of protecting groups are given below these are similarly not exhaustive. The use of protecting groups and methods of deprotection not specifically  
10 mentioned is of course within the scope of the invention.

A carboxy protecting group may be the residue of an ester-forming aliphatic or araliphatic alcohol or of an ester-forming silanol (the said alcohol or silanol preferably containing 1-20 carbon atoms).

Examples of carboxy protecting groups include straight or branched chain  $C_{1-12}$ alkyl  
15 groups (for example isopropyl, *t*-butyl); lower alkoxy lower alkyl groups (for example methoxymethyl, ethoxymethyl, isobutoxymethyl); lower aliphatic acyloxy alkyl groups, (for example acetoxymethyl, propionyloxymethyl, butyryloxymethyl, pivaloyloxymethyl); lower alkoxycarbonyloxy lower alkyl group (for example 1-methoxycarbonylethyl, 1-ethoxycarbonylethyl); phenyl lower alkyl groups (for example benzyl, *p*-methoxybenzyl, *o*-  
20 nitrobenzyl, *p*-nitrobenzyl, benzhydryl and phthalidyl); tri(lower alkyl)silyl groups (for example trimethylsilyl and *t*-butyldimethylsilyl); tri(lower alkyl)silyl lower alkyl groups (for example trimethylsilylethyl); and  $C_{2-6}$ alkenyl groups (for example allyl and vinyllethyl).

Methods particularly appropriate for the removal of carboxy protecting groups include for example acid-, base-, metal- or enzymically-catalysed hydrolysis.

25        Example of hydroxy protecting groups include lower groups (for example *t*-butyl), lower alkenyl groups (for example allyl); lower alkanoyl groups (for example acetyl); lower alkoxycarbonyl groups (for example *t*-butoxycarbonyl); lower alkenyloxycarbonyl groups (for example allyloxycarbonyl); phenyl lower alkoxycarbonyl group (for example benzoyloxycarbonyl, *p*-methoxybenzyloxycarbonyl, *o*-nitrobenzyloxycarbonyl, *p*-  
30 nitrobenzyloxycarbonyl); tri lower alkylsilyl (for example trimethylsilyl, *t*-butyldimethylsilyl) and phenyl lower alkyl (for example benzyl) groups.



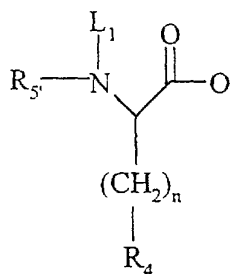
Examples of amino protecting groups include formyl, aralkyl groups (for example benzyl and substituted benzyl, *p*-methoxybenzyl, nitrobenzyl and 2,4-dimethoxybenzyl, and triphenylmethyl); di-*p*-anisylmethyl and furylmethyl groups; lower alkoxy carbonyl (for example *t*-butoxycarbonyl); lower alkenyloxycarbonyl (for example allyloxycarbonyl); phenyl lower alkoxy carbonyl groups (for example benzyloxycarbonyl, *p*-methoxybenzyloxycarbonyl, *o*-nitrobenzyloxycarbonyl, *p*-nitrobenzyloxycarbonyl; trialkylsilyl (for example trimethylsilyl and *t*-butyldimethylsilyl); alkylidene (for example methylenedene); benzylidene and substituted benzylidene groups.

Methods appropriate for removal of hydroxy and amino protecting groups include, for example, acid-, base-, metal- or enzymically-catalysed hydrolysis, for groups such as *p*-nitrobenzyloxycarbonyl, hydrogenation and for groups such as *o*-nitrobenzyloxycarbonyl, photolytically.

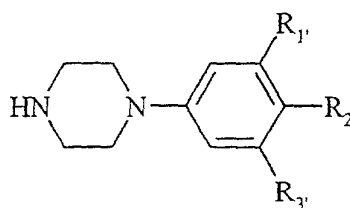
The reader is referred to Advanced Organic Chemistry, 4<sup>th</sup> Edition, by Jerry March, published by John Wiley & Sons 1992, for general guidance on reaction conditions and reagents. The reader is referred to Protective Groups in Organic Synthesis 2<sup>nd</sup> Edition, by Green et al, published by John Wiley & Sons for general guidance on protecting groups.

Compounds of the formula (1) and (7) can be formed by :

- i) reacting a carboxylic acid of formula (8) or a reactive derivative thereof with a piperazine of formula (9)



Formula (8)



Formula (9)

wherein  $\text{L}_1$ ,  $n$ ,  $\text{R}_4$ ,  $\text{R}_1$ - $\text{R}_3$ , and  $\text{R}_5$ , are as hereinabove defined.

A suitable reactive derivative of an acid of the Formula III is, for example, an acyl halide, for example an acyl chloride formed by the reaction of the acid and an inorganic acid chloride, for example thionyl chloride; a mixed anhydride, for example an anhydride

formed by the reaction of the acid and a chloroformate such as isobutyl chloroformate; an active ester, for example an ester formed by the reaction of the acid with a phenol such as pentafluorophenol, with an ester such as pentafluorophenyl trifluoroacetate or with an alcohol such as N-hydroxybenzotriazole; an acyl azide, for example an azide formed by the reaction  
5 of the acid and an azide such as diphenylphosphoryl azide; an acyl cyanide, for example a — cyanide formed by the reaction of an acid and a cyanide such as diethylphosphoryl cyanide; or the product of the reaction of the acid and a carbodiimide such as dicyclohexylcarbodiimide.

The reaction between compounds of the formulae (8) and (9) is carried out under conditions known for peptide bond formation. Typically the reaction is carried out in an  
10 organic solvent such as DMF or DMA. A small amount of THF and DMSO may be added as well. The reaction is usually carried out in the presence of an activating agent such as O-(7-azabenzotriazol-1-yl)-1,1,3,3-tetramethyluronium hexafluorophosphate (HATU) and a base, particularly an amine base, for example diisopropylethylamine. In addition 1-hydroxy-7-azabenzotriazole (HOAT) may be added as catalyst. The reaction is normally carried out in  
15 a temperature range of 0 to 80°C, preferably at around ambient temperature. 2-(1H-Benzotriazol-1-yl)-1,1,3,3-tetramethyluroniumhexafluorophosphate (HBTU), may be used instead of HATU in which case 1'-hydroxy-benzotriazole (HOBt) is generally used as catalyst.

The compound of the formula (8) is conveniently prepared by solid phase organic synthesis on a polymer resin. The resin is preferably one that can be removed with mild acid  
20 such as chlorotriylchloride resin. For example of other suitable polystyrene resins see the Nova Biochem Combinatorial Chemistry Catalogue February 1997.

The synthesis of a compound of the formula (8) is normally started with an amino acid which has a  $-(CH_2)_nR_4$  side chain. The amino acid functional groups not taking part in the reaction are protected by various functional groups. For example, the N-terminal and side  
25 chain amino groups may be protected by using 9-fluorenylmethoxycarbonyl (Fmoc), t-butoxycarbonyl (Boc), biphenylisopropoxycarbonyl (Bpoc), 2-[3,5-dimethoxyphenyl]propyl-2-oxycarbonyl (Ddz), adamantyloxycarbonyl (Adoc), allyloxycarbonyl (Aloc), 2,2,2-trichloroethoxycarbonyl (Troc), benzyloxycarbonyl and various substituted benzyloxycarbonyl groups. These protected groups can be cleaved when  
30 required by the standard techniques (e.g. acid or base treatment, catalytic hydrogenolysis and Pd(0) treatment or zinc/acetic acid treatment). The choice of protecting group depends to a

large extent on the type of resin used. When the resin is chlorotriptylchloride the preferred amino protecting group is Fmoc.

The protected amino acid is then mixed with the resin so that it reacts with the resin through its carboxy group. When the resin is chlorotriptyl resin, the reaction between amino acid and resin is conventionally carried out in an organic solvent such as DMF in the presence of a base such as DIPEA. The amino-protecting group is then removed so that the  $R^5$  can be introduced if it is other than hydrogen. The protecting group cleavage reactions can be performed at temperatures in the range of 4°C to 40°C (preferably at or about ambient temperature) and over a period of time in the range of 10 minutes to 24 hours.

10 The introduction of the  $R_5$  group when  $R_5$  is of the formula  $R_6C(O)-$  is carried out using standard methods known for the formation of an amide bond. For example in the presence of HBTU as described above.

When  $R_6$  is of the formula  $R_7$  the group  $R_6CO-$  can gradually be built up with subsequent amide bond forming reactions. For example the group  $NH(L_2)-CH(CH_2Ph)-CO-$  may be introduced onto the amino acid attached to the resin by reacting a compound of the formula  $PN(L_2)-CH(CH_2Ph)COOH$  (wherein P is an amino-protecting group), with the latter compound under amide bond-forming conditions. The amino-protecting group can then be removed and the resulting compound reacted with a compound of the formula  $PN(L_3)CH((CH_2)_nR_8)COOH$  under similar conditions. Again the amino-protecting group can be removed and the resulting compound reacted with a compound of the formula  $R_9(CH_2)_pA_1-COOH$ . Alternatively the group  $R_7COOH$  could be formed itself through subsequent amide-bond forming reactions and then introduced in one step onto the amino acid that is attached to the resin.

25 The resin is then removed, which in the case of chlorotriptyl resin involves treating it with acetic acid and trifluoroethanol, to give a compound of the formula (8).

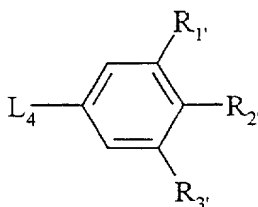
For further information on the formation of amide bonds see the procedures disclosed in "Solid Phase Peptide Synthesis : A practical approach" by Atherton and Sheppard (published by IRL press at Oxford University Press, 1989). "Solid Phase Peptide Synthesis" by Stewart and Young (published by the Pierce Chemical Company, Illinois, 1984), 30 "Principles of Peptide Synthesis" (published by Springer-Verlag, Berlin, 1984), and a series

of books "Amino Acids, Peptides and Proteins" (volumes 1-25; volume 25 published in 1994) (published by the Royal Society of Chemistry, Cambridge, UK).

Similar reaction conditions can be used to synthesise a compound of the (8) without using a resin support.

- 5 When  $R_5$  is of the formula  $R_6CH_2-$ , a compound of the formula (8) may be prepared by reacting together a compound of the formula  $R_6C(O)H$  and the appropriate amine under conditions known for the formation of a Schiff's base, followed by reduction of the Schiff's base to the methylamino group. The reaction is typically carried out in an organic solvent such as DMF or an alcohol such as methanol or ethanol. Suitable reducing agents include
- 10 sodium trisacetoxyborohydride, sodium cyanoborohydride and sodium borohydride.

A compound of the formula (9) is conveniently prepared by reacting piperazine, in which one nitrogen is protected, with a group of the formula :



- wherein  $L_4$  is a leaving group and  $R_{1'}$  -  $R_{3'}$  are as hereinabove defined. Preferably the leaving
- 15 group is chloro. The reaction is generally carried out in an inert organic solvent such as toluene or methylformamide, in the presence of an organic base such as triethylamine or DBU, in a temperature range of 80-200°C, preferably at reflux.

- Optional substituents in a compound of the formula (1) or (7) or intermediates in their preparation may be converted into other optional substituents. For example an hydroxy group
- 20 could be alkylated to a methoxy group.

- Various substituents may be introduced into compounds of the formulae (1) or (7) and intermediates in this preparation, when appropriate, using standard methods known in the art. For example, an acyl group or alkyl group may be introduced into an activated benzene ring using Friedel-Crafts reactions, a nitro group by nitration with concentrated nitric acid and
- 25 concentrated sulphuric acid and bromination with bromine or tetra(n-butyl)ammonium tribromide.

It will be appreciated that, in certain steps in the reaction sequence to compounds of the formula (1), it will be necessary to protect certain functional groups in intermediates in

order to prevent side reactions. Deprotection may be carried out at a convenient stage in the reaction sequence once protection is no longer required.

In order to use a compound of the Formula (1), or a pharmaceutically-acceptable salt or in vivo cleavable ester thereof, for the therapeutic treatment (including prophylactic  
5 treatment) of mammals including humans, it is normally formulated in accordance with — standard pharmaceutical practice as a pharmaceutical composition.

According to this aspect of the invention there is provided a pharmaceutical composition which comprises an amide derivative of the Formula (1), or a pharmaceutically-acceptable or in vivo cleavable ester thereof, as defined herein before in association with a  
10 pharmaceutically-acceptable diluent or carrier.

The compositions of the invention may be in a form suitable for oral use (for example as tablets, lozenges, hard or soft capsules, aqueous or oily suspensions, emulsions, dispersible powders or granules, syrups or elixirs), for topical use (for example as creams, ointments, gels, or aqueous or oily solutions or suspensions), for administration by inhalation (for  
15 example as a finely divided powder or a liquid aerosol), for administration by insufflation (for example as a finely divided powder) or for parenteral administration (for example as a sterile aqueous or oily solution for intravenous, subcutaneous, intramuscular or intramuscular dosing or as a suppository for rectal dosing).

The compositions of the invention may be obtained by conventional procedures using  
20 conventional pharmaceutical excipients, well known in the art. Thus, compositions intended for oral use may contain, for example, one or more colouring, sweetening, flavouring and/or preservative agents.

Suitable pharmaceutically-acceptable excipients for a tablet formulation include, for example, inert diluents such as lactose, sodium carbonate, calcium phosphate or calcium  
25 carbonate, granulating and disintegrating agents such as corn starch or algenic acid; binding agents such as starch; lubricating agents such as magnesium stearate, stearic acid or talc; preservative agents such as ethyl or propyl p-hydroxybenzoate, and anti-oxidants, such as ascorbic acid. Tablet formulations may be uncoated or coated either to modify their disintegration and the subsequent absorption of the active ingredient within the  
30 gastrointestinal tract, or to improve their stability and/or appearance, in either case, using conventional coating agents and procedures well known in the art.

Compositions for oral use may be in the form of hard gelatin capsules in which the active ingredient is mixed with an inert solid diluent, for example, calcium carbonate, calcium phosphate or kaolin, or as soft gelatin capsules in which the active ingredient is mixed with water or an oil such as peanut oil, liquid paraffin, or olive oil.

- 5       Aqueous suspensions generally contain the active ingredient in finely powdered form together with one or more suspending agents, such as sodium carboxymethylcellulose, methylcellulose, hydroxypropylmethylcellulose, sodium alginate, polyvinyl-pyrrolidone, gum tragacanth and gum acacia; dispersing or wetting agents such as lecithin or condensation products of an alkylene oxide with fatty acids (for example polyoxethylene stearate), or
- 10       condensation products of ethylene oxide with long chain aliphatic alcohols, for example heptadecaethyleneoxycetanol, or condensation products of ethylene oxide with partial esters derived from fatty acids and a hexitol such as polyoxyethylene sorbitol monooleate, or condensation products of ethylene oxide with partial esters derived from fatty acids and hexitol anhydrides, for example polyethylene sorbitan monooleate. The aqueous suspensions
- 15       may also contain one or more preservatives (such as ethyl or propyl *p*-hydroxybenzoate, anti-oxidants (such as ascorbic acid), colouring agents, flavouring agents, and/or sweetening agents (such as sucrose, saccharine or aspartame).

- Oily suspensions may be formulated by suspending the active ingredient in a vegetable oil (such as arachis oil, olive oil, sesame oil or coconut oil) or in a mineral oil (such as liquid
- 20       paraffin). The oily suspensions may also contain a thickening agent such as beeswax, hard paraffin or cetyl alcohol. Sweetening agents such as those set out above, and flavouring agents may be added to provide a palatable oral preparation. These compositions may be preserved by the addition of an anti-oxidant such as ascorbic acid.

- Dispersible powders and granules suitable for preparation of an aqueous suspension by
- 25       the addition of water generally contain the active ingredient together with a dispersing or wetting agent, suspending agent and one or more preservatives. Suitable dispersing or wetting agents and suspending agents are exemplified by those already mentioned above. Additional excipients such as sweetening, flavouring and colouring agents, may also be present.

- 30       The pharmaceutical compositions of the invention may also be in the form of oil-in-water emulsions. The oily phase may be a vegetable oil, such as olive oil or arachis oil.

or a mineral oil, such as for example liquid paraffin or a mixture of any of these. Suitable emulsifying agents may be, for example, naturally-occurring gums such as gum acacia or gum tragacanth, naturally-occurring phosphatides such as soya bean, lecithin, an esters or partial esters derived from fatty acids and hexitol anhydrides (for example sorbitan monooleate) and  
5 condensation products of the said partial esters with ethylene oxide such as polyoxyethylene sorbitan monooleate. The emulsions may also contain sweetening, flavouring and preservative agents.

Syrups and elixirs may be formulated with sweetening agents such as glycerol, propylene glycol, sorbitol, aspartame or sucrose, and may also contain a demulcent,  
10 preservative, flavouring and/or colouring agent.

The pharmaceutical compositions may also be in the form of a sterile injectable aqueous or oily suspension, which may be formulated according to known procedures using one or more of the appropriate dispersing or wetting agents and suspending agents, which have been mentioned above. A sterile injectable preparation may also be a sterile injectable  
15 solution or suspension in a non-toxic parenterally-acceptable diluent or solvent, for example a solution in 1,3-butanediol.

Suppository formulations may be prepared by mixing the active ingredient with a suitable non-irritating excipient which is solid at ordinary temperatures but liquid at the rectal temperature and will therefore melt in the rectum to release the drug. Suitable excipients  
20 include, for example, cocoa butter and polyethylene glycols.

Topical formulations, such as creams, ointments, gels and aqueous or oily solutions or suspensions, may generally be obtained by formulating an active ingredient with a conventional, topically acceptable, vehicle or diluent using conventional procedures well known in the art.

25 Compositions for administration by insufflation may be in the form of a finely divided powder containing particles of average diameter of, for example, 30 $\mu$ m or much less, the powder itself comprising either active ingredient alone or diluted with one or more physiologically acceptable carriers such as lactose. The powder for insufflation is then conveniently retained in a capsule containing, for example, 1 to 50mg of active ingredient for  
30 use with a turbo-inhaler device, such as is used for insufflation of the known agent sodium cromoglycate.

Compositions for administration by inhalation may be in the form of a conventional pressurised aerosol arranged to dispense the active ingredient either as an aerosol containing finely divided solid or liquid droplets. Conventional aerosol propellants such as volatile fluorinated hydrocarbons or hydrocarbons may be used and the aerosol device is conveniently  
5 arranged to dispense a metered quantity of active ingredient. —

For further information on Formulation the reader is referred to Chapter 25.2 in Volume 5 of Comprehensive Medicinal Chemistry (Corwin Hansch; Chairman of Editorial Board), Pergamon Press 1990.

The amount of active ingredient that is combined with one or more excipients to  
10 produce a single dosage form will necessarily vary depending upon the host treated and the particular route of administration. For example, a formulation intended for oral administration to humans will generally contain, for example, from 0.5 mg to 2 g of active agent compounded with an appropriate and convenient amount of excipients which may vary from about 5 to about 98 percent by weight of the total composition. Dosage unit forms will  
15 generally contain about 1 mg to about 500 mg of an active ingredient. For further information on Routes of Administration and Dosage Regimes the reader is referred to Chapter 25.3 in Volume 5 of Comprehensive Medicinal Chemistry (Corwin Hansch; Chairman of Editorial Board), Pergamon Press 1990.

The size of the dose for therapeutic or prophylactic purposes of a compound of the  
20 Formula (1) will naturally vary according to the nature and severity of the conditions, the age and sex of the animal or patient and the route of administration, according to well known principles of medicine.

In using a compound of the Formula (1) for therapeutic or prophylactic purposes it will generally be administered so that a daily dose in the range, for example, 0.5 mg to 75 mg  
25 per kg body weight is received, given if required in divided doses. In general lower doses will be administered when a parenteral route is employed. Thus, for example, for intravenous administration, a dose in the range, for example, 0.5 mg to 30 mg per kg body weight will generally be used. Similarly, for administration by inhalation, a dose in the range, for example, 0.5 mg to 25 mg per kg body weight will be used. Oral administration is however  
30 preferred, particularly in tablet form. Typically, unit dosage forms will contain about 1 mg to 500 mg of a compound of this invention.



The compounds of this invention may be used in combination with other drugs and therapies used in the treatment of disease states which would benefit from the inhibition of the interaction of p53 and MDM2. For example, the compounds of the Formula (1) could be used in combination with drugs and therapies used in the treatment of cancers, including, but not  
 5 limited to, breast cancer, sarcomas, osteosarcoma, testicular cancer or oesophageal cancer.

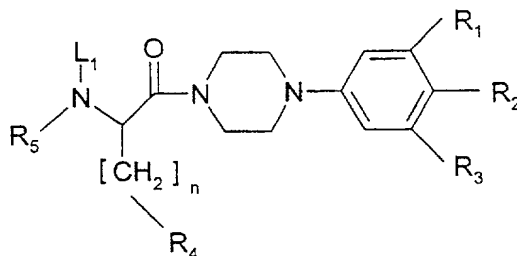
If formulated as a fixed dose such combination products employ the compounds of this invention within the dosage range described herein and the other pharmaceutically-active agent within its approved dosage range. Sequential use is contemplated when a combination formulation is inappropriate.

10 Although the compounds of the Formula (1) are primarily of value as therapeutic agents for use in warm-blooded animals (including man), they are also useful whenever it is required to inhibit the interaction of p53 and MDM2. Thus, they are useful as pharmacological standards for use in the development of new biological tests and in the search for new pharmacological agents.

15 In a further aspect of the invention there is provided a method of probing the biochemistry of MDM2 interactions using a compound of formula (1).

In a further aspect of the invention there is provided for use in the treatment of a warm-blooded animal, by therapy, a compound of formula (1), or a pharmaceutically acceptable salt, prodrug or solvate thereof as herein defined.

20 In a further aspect of the invention there is provided a method of treating cancers which comprises administering to a warm-blooded animal an effective amount of a compound of formula (4).



formula (4)

25

wherein :

$L_1$  is hydrogen or methyl;

$R_1$  and  $R_2$  and  $R_3$  are each independently hydrogen, halo, nitro, cyano, carbamoyl,  $\underline{N}$ -( $C_{1-4}$ alkyl)carbamoyl,  $\underline{NN}$ -(di $C_{1-4}$ alkyl)carbamoyl or  $C_{1-4}$ alkoxycarbonyl;

$R_4$  is indole,  $\underline{N}$ -( $C_{1-4}$  alkyl) indole,  $C_{6-7}$ carbocyclic ring or aryl, any of which can be optionally substituted on ring carbon atoms with up to three substituents each

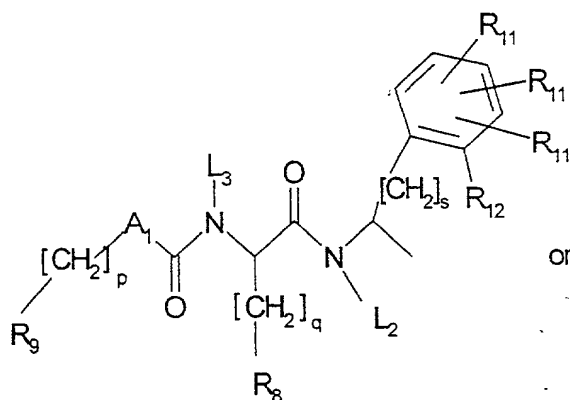
5 independently selected from halo,  $C_{1-4}$ alkyl, or  $C_{1-4}$ alkoxy;

$R_5$  is hydrogen,  $C_{1-4}$ alkyl,  $R_6CH_2-$  or  $R_6C(O)-$ ;

$R_6$  is aryl, heteroaryl, heterocyclyl, amino $C_{3-6}$ alkyl,  $\underline{N}$ -( $C_{1-4}$ alkyl)amino $C_{3-6}$ alkyl,  $\underline{NN}$ -(di $C_{1-4}$ alkyl)amino $C_{3-6}$ alkyl, or  $R_7$ ; wherein the aryl, heteroaryl or heterocyclyl rings may be optionally substituted with up to three substituents independently selected from

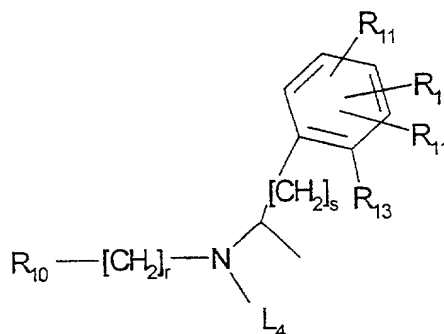
10 nitro,  $C_{1-4}$ alkyl,  $C_{1-4}$ alkoxy, halo, ( $C_{1-4}$ alkyl)sulfanyl,  $C_{1-4}$ alkoxycarbonyl,  $\underline{N}$ -( $C_{1-4}$  alkyl)carbamoyl,  $\underline{NN}$ -(di $C_{1-4}$  alkyl)carbamoyl,  $\underline{N}$ -( $C_{1-4}$  alkyl)amino or  $\underline{NN}$ -(di $C_{1-4}$  alkyl)amino;

wherein  $R_7$  is either a group of formula (5) or formula (6):



Formula (5)

or



Formula (6)

wherein:

20  $L_2$ ,  $L_3$  and  $L_4$  are each independently hydrogen or methyl;

$R_8$  is amino, guanadino, imidazolo, any of which can be mono or di- $\underline{N}$ -substituted with  $C_{1-4}$ alkyl;

$A_1$  is oxygen or a direct bond;

$R_9$  is a  $C_{5-8}$  membered mono-carbocyclic ring, a  $C_{6-10}$  membered bi-carbocyclic ring,

25  $C_{8-12}$  membered tri-carbocyclic ring,  $C_{5-7}$ alkyl or aryl, any of which can be optionally mono, bi or tri substituted by  $C_{1-4}$  alkyl;

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$R_{10}$  is  $C_{1-6}$ alkyl or a  $C_{3-6}$ mono-carbocyclic ring;

$R_{11}$  is hydrogen, halo,  $C_{1-4}$ alkyl, or  $C_{1-4}$ alkoxy;

$R_{12}$  is hydrogen or methyl or ethyl or  $R_{12}$  together with  $L_2$  forms a  $C_{5-7}$  nitrogen-containing heterocyclic ring;

5  $R_{13}$  is hydrogen or methyl ethyl or  $R_{13}$  together with  $L_4$  forms a  $C_{5-7}$  nitrogen-containing heterocyclic ring;

$n$  is 0, 1 or 2;

$p$  is 0, 1 or 2;

$q$  is an integer from 1 to 6

10  $r$  is 0, 1 or 2;

$s$  is 0, 1 or 2;

provided that when  $R_6$  is aryl, heteroaryl, heterocyclyl, amino $C_{3-6}$ alkyl,

N-( $C_{1-4}$ alkyl)amino $C_{3-6}$ alkyl or NN-(di $C_{1-4}$ alkyl)amino $C_{3-6}$ alkyl then  $R_5$  is other than

$R_6CH_2-$ ; or a pharmaceutically acceptable salt, prodrug or solvate thereof.

15 In a further aspect of the invention there is provided a method of treating cancers, mediated by wild type or altered MDM2, which comprises administering to a warm-blooded animal an effective amount of a compound of formula (4), or a pharmaceutically acceptable salt, prodrug or solvate thereof as herein defined.

In a further aspect of the invention there is provided the use of a compound of  
20 formula (4) or a pharmaceutically acceptable salt, prodrug or solvate thereof as herein defined, in the manufacture of a medicament for use in the treatment of cancer.

In a further aspect of the invention there is provided the use of a compound of formula (4) or a pharmaceutically acceptable salt, prodrug or solvate thereof as herein defined, in the manufacture of a medicament for use in the treatment of cancer, mediated by wild type  
25 or altered MDM2.

In a further aspect of the invention there is provided the use of a compound of formula (4) or a pharmaceutically acceptable salt, prodrug or solvate thereof as herein defined in the manufacture of a medicament for the treatment of cancer in a warm-blooded animal.

In a further aspect of the invention there is provided the use of a compound of  
30 formula (4) or a pharmaceutically acceptable salt, prodrug or solvate thereof as herein defined

in the manufacture of a medicament for the treatment of cancers, mediated by wild-type or altered MDM2, in a warm-blooded animal.

In a further aspect of the invention there is provided a pharmaceutical composition comprising a compound of formula (4), or a pharmaceutically acceptable salt, prodrug or solvate thereof as herein defined, in admixture with a pharmaceutically-acceptable diluent or carrier for the treatment of cancer in a warm-blooded animal.

In a further aspect of the invention there is provided a pharmaceutical composition comprising a compound of formula (4), or a pharmaceutically acceptable salt, prodrug or solvate thereof, in admixture with a pharmaceutically-acceptable diluent or carrier for the treatment of cancer, mediated by wild-type or altered MDM2, in a warm-blooded animal.

The following biological assay provides a method of measuring the inhibition of the interaction of p53 with MDM2.

#### Biological Assays

##### **15 Measurement of the inhibition of p53 / MDM2 interaction**

The inhibition of the p53 / MDM2 interaction by compounds was measured using a modified ELISA assay using a histidine tagged MDM2 [MDM2 (1-118) 6 his], a p53 GST fusion protein (GST-p53) and a nickel chelate - alkaline phosphatase (NTA-AP).

The human MDM2 N-terminal fragment encompassing amino acids 1-118 and immediately followed by a C-terminal 6-histidine tag sequence and then a stop codon was generated by PCR from a human placental cDNA library. The PCR product was initially cloned into pCR2.1 vector (Invitrogen) following the manufacturers protocol. DNA sequencing confirmed a MDM fragment sequence identical to that of published MDM2 (EMBL accession no. Z12020). The MDM2-6His fragment was then subcloned into pTB375 E coli expression vector to produce the expression vector pTB375-MDM2-6His (1-118) clone no. 11. The pTB375 vector is a modified T7 (Studier) Expression vector. Expression grows were performed in E.coli strain BL21 / DE3 (obtained from Novagen Inc.) and expression of the recombinant protein was induced by addition of IPTG (isopropyl- $\beta$ -D-1-thiogalactopyranoside). MDM2 (1-118) 6 his was purified from E.coli lysates using Ni-NTA beads (Qiagen).

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The background vector for generation of pTB375 was pZEN0042 (pICI0042), described fully in UK patent application GB 2253852. Briefly, pZEN0042 contains the tetA/tetR inducible tetracycline resistance sequence from plasmid RP4 and the cer stability sequence from plasmid pKS492 in a pAT153 derived background. pTB375 was generated by the addition of an expression cassette consisting of the T7 gene 10 promoter, multiple cloning site and T7 gene 10 termination sequence. In addition, a terminator sequence designed to reduce transcriptional readthrough from the background vector was included upstream of the expression cassette.

A DNA fragment encoding aa1-50 of p53 followed by a stop codon was generated by PCR using vector pHp53B (ATCC clone no. 57255) as template. The PCR product was initially cloned into pCR2.1 vector (Invitrogen) following the manufacturers protocol. DNA sequencing confirmed a p53 sequence identical to that of published p53 (EMBL accession no. X04269).

The p53 fragment was then subcloned into pGEX-4T1 E coli expression vector (Pharmacia) to produce the expression vector pGEX-4T1-p53(1-50) clone no. 7.

The sequence of the expression vector at the junction of the GST and p53 sequences was as follows:

GST seq.....CTG GTT CCG CGT GGA TCC ATG.....

(ATG is aa1 of p53, GGA TCC is the conserved BamHI cloning site)

Expression grows were performed in E.coli strain DH5alpha and expression of the recombinant protein was induced by addition of IPTG. GST-p53(1-50) was purified using glutathione -sepharose beads (Pharmacia). The procedures used for expressing the GST fusion protein are therefore analogous procedures to those disclosed by J. Han *et al.*, Journal of Biological Chemistry, 1996, 271, 2886-2891.

In the assay the following solutions were used:

Stock GST-p53 (6.1mg/ml / ~200uM) diluted 2706 fold using H<sub>2</sub>O;

Stock MDM2-6His (1.1mg/ml, ~70uM) diluted 933 fold using H<sub>2</sub>O;

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Stock NTA-AP was made by reconstituting lyophilised Ni-NTA-AP (Qiagen 34510 - 200µg) in 500ul distilled water and stored as frozen aliquots. It was freshly diluted 1:1000 using phosphate buffered saline (PBS) for use in the assay;

PNPP buffer - 100mM Tris HCl, pH 9.5, 100mM NaCl, 5mM MgCl<sub>2</sub>;

- 5 2.5mM Phosphatase substrate (Sigma 104 phosphatase substrate) was dissolved in PNPP buffer approximately 20 minutes prior to use; it was kept in the dark;

Double distilled water was used throughout the assay.

- 100µl of 75nM GST-p53 was added per well to a 96 well plate (Nunc Maxisorp) and the plate left at 4°C overnight. Next day the fluid in the wells was removed and discarded and
- 10 100µl 0.2% bovine serum albumin (BSA) in PBS was added to each well and left at room temperature for 1 hour. The fluid was then removed and discarded and each well was washed twice with 0.1% Tween in PBS. After removing and discarding the second tween/PBS wash, 10µl of compound was added to each well, followed by 90µl 75nM MDM2-6His. The plate was then incubated at room temperature for 1.5 hours. After this time the fluid from each well
- 15 was removed and discarded and each well was washed three times with 280µl Tween/PBS. After removing and discarding the final wash, 100µl NTA-AP was added to each well and the plate incubated at room temperature for 1.5 hours. After this time the fluid from each well was removed and discarded and each well was washed three times with 280µl Tween/PBS. Next
- 20 100µl phosphatase substrate in PNPP buffer was added and the plate left for 1-2 hours at 30°C. The optical density of each well was measured at 405nm.

Compounds were tested at a final concentration between 0 and 100µM. Stock solutions of compound were made at 30µM in DMSO, dilutions were made in H<sub>2</sub>O.

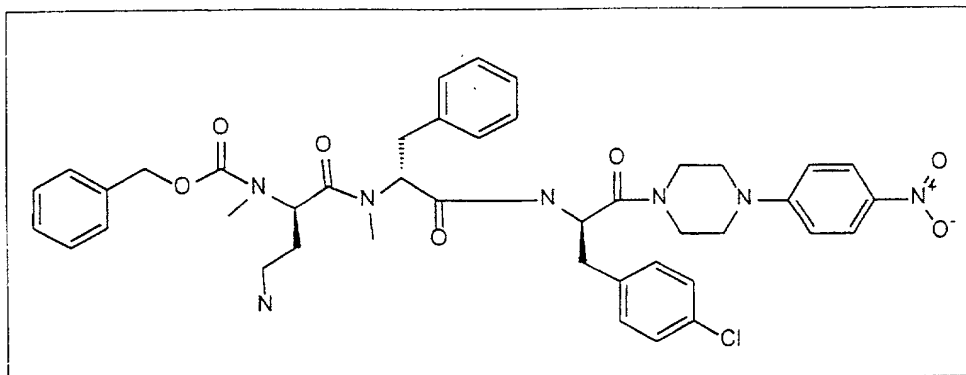
- Although the pharmacological properties of the compounds of the Formula (1) vary with structural change as expected, in general compounds of the Formula (1) possess an IC<sub>50</sub>
- 25 in the above test in the range, for example, 0.03 to 200µM. Thus by way of example the compound of Example 9 herein has an IC<sub>50</sub> of approximately 4µM.

The invention will now be illustrated by the following non-limiting Examples in which, unless otherwise stated:-

- (i) concentrations and evaporations were carried out by rotary evaporation in vacuo;

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- (ii) operations were carried out at room temperature, that is in the range 18-26°C;
- (iii) yields, when given, are intended for the assistance of the reader only and are not necessarily the maximum attainable by diligent process development;
- (iv) the following abbreviations are used:
- 5 BOC = *tert*-butoxycarbonyl; Cha = is an amino acid residue with a cyclohexylmethyl side chain; Dab = is an amino acid residue with a 2-aminoethyl side chain; DBU = 1,8-diazabicyclo[5.4.0]undec-7-ene; DMF = N,N-dimethylformamide; HOBt = 1-hydroxybenzotriazole; Met = methionine; Fmoc = 9-fluorenylmethyloxycarbonyl; THF = tetrahydrofuran; DMSO = dimethylsulfoxide; HATU = O-(7-azabenzotriazol-1-yl)-1,1,3,3-
- 10 tetramethyluronium hexafluorophosphate; HBTU = 2-(1H-benzotriazol-1-yl)-1,1,3,3-tetramethyluroniumhexafluorophosphate; HOAT = 1-hydroxy-7-azabenzotriazole; DIPEA = diisopropylethylamine; TFA = trifluoroacetic acid; HPLC = high pressure liquid chromatography; TCE = trichloroethane; RP-HPLC = reverse phase high pressure liquid chromatography (which unless otherwise stated was carried out on a Vydac C18 column
- 15 218TP54, 4.6 x 250 mm); and Z = benzyloxycarbonyl;
- (v) flash chromatography and chromatography on silica were performed on Merck Kieselgel 60 (Art No. 9385) obtained from E Merck, Darmstadt, Germany; and
- (vi) <sup>1</sup>H NMR spectra were determined at 200 Mhz in CDCl<sub>3</sub> or d<sub>6</sub>-dimethylsulphoxide (d<sub>6</sub>-DMSO) using tetramethylsilane (TMS) as an internal standard, and are expressed as
- 20 chemical shift (delta) values in parts per million relative to TMS using conventional abbreviations for designation of major peaks: s, singlet; m, multiplet; t, triplet; br, broad, d, doublet.

**Example 1****Z-NMe(D)Dab-NMe(D)Phe-(D)Phe(4-Cl)-piperazine-4-nitrophenyl**

Z-NMe(D)Dab(BOC)-NMe(D)Phe-(D)Phe(4-Cl)-OH (assuming 0.25mmol) was  
 5 dissolved in DMF(1ml). 4-Nitrophenyl-piperazine (1.2eq, 15.5mg), HATU(1eq, 19mg) and  
 DIPEA(3.6eq, 157μl) were added and the mixture was stirred overnight at ambient  
 temperature. RP-HPLC indicated that the reaction was 50% complete. It was evaporated to  
 dryness and the BOC group removed by treatment with TFA/TIPS/water 10:1:1 (6ml,  
 5:0.5:0.5).

10 The resulting peptide was purified by preparative RP-HPLC ( Vydac C18 218TP1022  
 column eluting with an acetonitrile - water system containing 0.1%TFA (5 to 60% acetonitrile  
 over 80 minutes), flow rate 12ml/min). The fractions containing the product were combined  
 and lyophilised to give Z-NMe(D)Dab-NMe(D)Phe-(D)Phe(4-Cl)-piperazine-4-nitrophenyl,  
 6.5mg(3.25% yield).

15 The product was characterised by HPLC and mass spectrometry:

RP- HPLC Vydac C18 218TP54 4.6x250mm column eluting at 1.2ml/min in water  
 and acetonitrile containing 0.1%TFA (30-70% acetonitrile over 20 minutes) retention time  
 15.27 minutes, no detectable impurities, mass spectrometry, m/e (positive electrospray,  
 (ES+)) 798.5, 799.1, 800.5 (MH+).

20 The starting material was prepared by solid phase synthesis starting with Wang Resin  
 in a Bond Elut (Varian 25ml, fitted with a filter in the bottom):

Wang Resin(Novabiochem,1.28g) was stirred in dichloromethane (15ml)with MSNT  
 (ref. Birgt Blankeymeyer-Menge, *Tet Lett.* 1990, **31**, 1701)( 2mmol, 592mg) and Fmoc-  
 Phe(4-Cl)-OH (2mmol, 845mg) for 45 minutes. The resin was washed with dichloromethane



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(3x10ml) then methanol (3x10ml). The resin was dried *in vacuo* at 40°C overnight. Weight gain indicated a loading of 0.59mmol/g for Fmoc(D)Phe(4-Cl)-Wang Resin.

The Fmoc group was removed with 20% piperidine in DMF (3x10min , 10 ml each time) to give H-(D)Phe(4-Cl)-Wang Resin.

- 5 H-(D)Phe(4-Cl) -Wang Resin (423mg ~0.25mmol) was placed in another Bond Elut (15ml). FmocNMe(D)Phe-OH(3eq, 301mg) was dissolved in DMF(1.5ml), HBTU (3eq, 284mg) and DIPEA (9eq) were added. After 5 minutes this mixture was added to the resin and stirred for 1- 1.5h at ambient temperature. The resin was then washed with DMF (3x10ml).

- 10 The Fmoc group was removed with 20% piperidine in DMF (3x10min , 10 ml each time) to give NMe(D)Phe-(D)Phe(4-Cl)-Wang Resin.

Fmoc-Dab(BOC)-OH (3eq, 340mg) was coupled to the N-terminus of NMe(D)Phe(D)-Phe(4-Cl)-Wang Resin using a similar method to the coupling of Fmoc-Phe(4-Cl)-OH, except HATU(3eq, 285mg) was used instead of HBTU, to give Fmoc-

- 15 Dab(BOC)NMe(D)Phe-(D)Phe(4-Cl)-Wang Resin.

The Fmoc group was removed with 20% piperidine in DMF (3x10min , 10 ml each time) to give Dab(BOC)-NMe(D)Phe-(D)Phe(4-Cl)-Wang Resin.

- The resin was washed with DMF(3x10ml) and suspended in dichloromethane (5ml) to which was added 2-nitrobenzenesulfonyl chloride (3eq, 166mg) and 2,4,6-collidine (5eq, 20 165µl). After 2 hours the resin was washed with dichloromethane (3x5ml) and suspended in DMF (5ml). To this was added MTBD (ref. Miller, *J. Am. Chem. Soc.*, **1997**, 119,2301)(2-4eq, 100µl) and methyl-4-nitrobenzene sulfonate (3-5eq, 200mg). After 30 minutes the resin was washed with DMF (3x5ml) and the resin suspended in DMF (5ml). DBU (5eq, 187µl) and mercaptoethanol (10eq, 175µl) were added and after 30 minutes the resin was washed 25 with DMF (5x10ml).

The resin was wet with DMF (1-2ml) and benzoyl chloroformate (4eq, 170mg) and DIPEA (12eq, 0.52ml) added. After 1.5 hours the resin was washed with DMF (3x10ml) and then methanol ( 3x10ml). The resin was dried once more *in vacuo* overnight to give Z-NMe-(D)Dab-NMe(D)Phe-(D)Phe(4-Cl)-Wang resin.

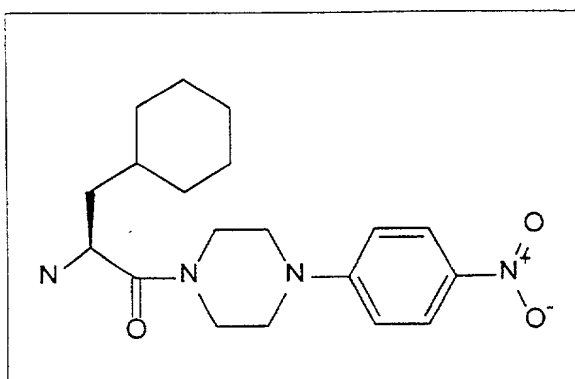
- 30 Z-NMeDab-NMe(D)Phe-(D)Phe(4-Cl)-OH was cleaved from the resin by addition of TFA:TIPS:water (10:1:1, 12ml). The resin was filtered and washed thoroughly with

- 31 -

TFA(3x5ml) and the solution was evaporated to dryness and lyophilised from water to yield an oil.

The BOC group was reintroduced on to Z-NMeDab-NMe(D)Phe-(D)Phe(4-Cl)-OH (assuming 0.25mmol) by treating it with di t-butyl-dicarbonate(4eq) in DMF (1-2ml) and DIPEA(12ml). RP-HPLC indicated that the reaction was complete after 1h (Vydac C18 218TP54 4.6x250mm acetonitrile - water system containing 0.1%TFA, 10-90% acetonitrile over 20minutes, flow 1.2ml/min). The solution was evaporated to dryness and washed with 1M Citric acid (2x5ml) then hexane(2x5ml). It was dried *in vacuo* overnight in the presence of phosphorous pentoxide to give Z-NMe(D)Dab(BOC)-NMe(D)Phe-(D)Phe(4-Cl)-OH as an oil.

### Example 2



### Cyclohexylalanine-piperazine-4-nitrophenyl

Fmoc-cyclohexylalanine (39mg, 0.1mmol) was dissolved in DMF (1ml) and piperazine-4-nitrobenzene (25mg, 1.2eq), HATU (1.2eq, 47mg) and DIPEA (3.6eq, 63μl) were added and the mixture was stirred at ambient temperature.

The reaction was complete by RP-HPLC after 2 hours (Vydac C18 218TP54 4.6x250mm column, water-acetonitrile system containing 0.1% TFA 10-90% gradient over 20 minutes, flow rate 1.2ml/min) giving Fmoc-cyclohexylalanine-piperazine-4-nitrobenzene. The protecting group was removed with 20% piperidine in DMF(2ml) for 20 minutes. It was then diluted with water (5ml). The amino acid amide was purified by preparative RP- HPLC Vydac 218TP1022 column flow rate 12ml/min in a water - acetonitrile system containing 0.1%TFA using a 10-50% acetonitrile gradient over 1 hour.

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Fractions containing product were combined and lyophilised to yield cyclohexylalanine-piperazine-4-nitrobenzene (36mg, quantitative yield).

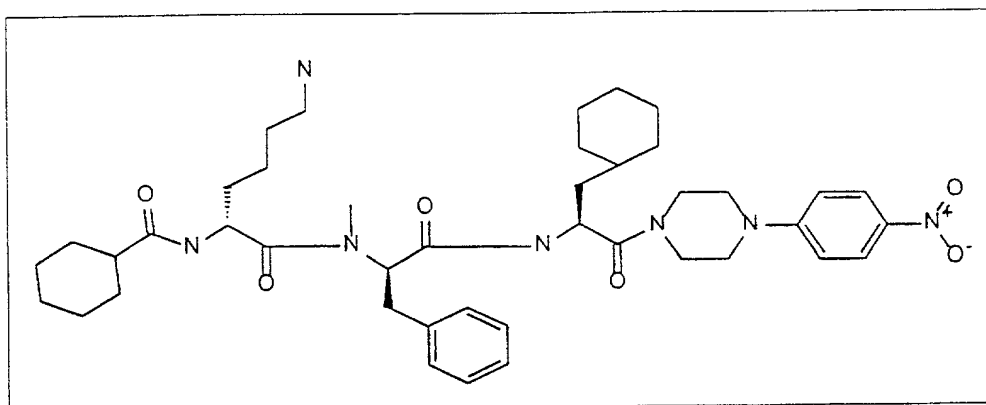
The product was characterised by HPLC, mass spectrometry and  $^1\text{H}$  NMR:

RP-HPLC Vydac C18 218TP54 column 4.6x250mm eluting with acetonitrile and water containing 0.1%TFA, using a 10-50% acetonitrile gradient over 20 minutes, flow rate 1.2ml/min, retention time 17.61 minutes with no detectable impurities.

Mass Spectrometry m/e (Electrospray(ES+)) 361.5 MH+

$^1\text{H}$ -NMR(DMSO): 1.2(m, 3H), 1.6(m, 8H), 3.6(m, 10H), 4.4(m, 1H) 7.0(d, 2H), 8.1(m, 4H).

### Example 3



#### Cyclohexyl-CO-(D)Lys-NMe(D)Phe-Cha-piperazine-4-nitrophenyl

Cyclohexyl-CO-(D)Lys(BOC)-NMe(D)Phe-Cha-resin (0.05mmol) was dissolved in DMF (1ml) and activated with HATU (1eq, 19mg), DIPEA (3eq, 26 $\mu$ l) and coupled to N-(4-nitrophenyl)piperazine (1.5eq, 15.5mg) overnight. RP-HPLC indicated that the reaction was complete (VYDAC 201HS54 column, flow rate 1.2ml/min water-acetonitrile gradient containing 0.1% TFA using a 10-90% acetonitrile gradient over 20 minutes).

The solution was evaporated to dryness and treated with a mixture of TFA/TIPS/water (12ml, 10:1:1) for 30 minutes. After further evaporation to dryness the product was taken up in 50% acetonitrile/water and purified by preparative RP-HPLC (Dynamax 83-221 C 60Angstrom column 12ml/min in a water- acetonitrile system containing 0.1% TFA, using a 30-70% acetonitrile gradient over 1hour. The fractions containing product were combined and lyophilised to give cyclohexyl-CO-(D)Lys-NMe(D)Phe-Cha-piperazine-4-nitrobenzene (30mg, 79% yield).

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The product was characterised by HPLC and mass spectrometry.

RP-HPLC Vydac C18 column, 218TP54, 4.6x250mm eluting with water- acetonitrile containing 0.1%TFA, using a 20-60% acetonitrile gradient over 20 minutes, flow 1.2ml/min indicates purity of 99.3%, retention time 15.11 minutes. Mass spectrometry m/e ( Positive  
5 electrospray (ES+)) 759.7, 761, 761.9 (MH+)

The starting material was synthesised on an ABI 430 Automated Peptide Synthesiser starting from 2-chlorotritylchloride resin( Alexis, 188mg, 0.25mmol).

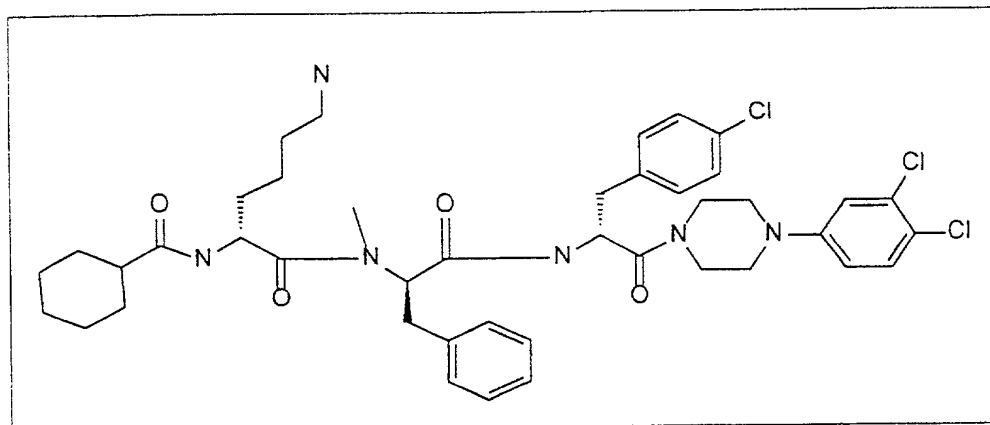
Fmoc Cha-OH (1mmol each time) was double coupled to the chlorotrityl chloride resin in the standard way using, dichloromethane (5ml each time) with diisopropylamine (2eq,  
10 348µl each time) to give Fmoc-Cha-resin.

Fmoc was removed using a similar method to that used in example 1 but using 5ml of piperidine in DMF each time.

Fmoc-NMe(D)Phe-OH (1mmol, 401mg) was coupled to Cha-resin using HBTU (1mmol, 379mg) and HOBT (1mmol,135mg) in DMF with DIPEA (2mmol, 378µl), for 1  
15 hour at ambient temperature. The resin was washed thoroughly with dichloromethane (5x5ml) to give Fmoc-(D)NMe Ph-Cha-resin. Fmoc was removed using a similar method to that described in example 1.

Fmoc-Lys(BOC)-OH (1mmol, 468mg) was coupled to NMe(D)Ph-Cha-resin using HATU (1mmol, 380mg) in DMF with DIPEA (2mmol, 348µl), at ambient temperature for 1  
20 hour. The resin was washed thoroughly with DMF (5x5ml). Fmoc was removed using a similar method to that described in example 1.

Cyclohexanecarboxylic acid (1mmol, 128mg) was coupled to the peptide resin using a similar method to that used for the incorporation of Fmoc-NMe(D)Phe-OH. The peptide was cleaved from the resin using a mixture of acetic acid, trichloroethane and  
25 dichloromethane(14ml 2:2:10) for 2h. The resin was filtered and washed thoroughly with acetic acid:dichloromethane (1:4). The filtrate was evaporated to dryness and taken up in water and finally lyophilised to give cyclohexyl-CO-(D) Lys-(BOC)NMe(D)Phe-Cha-resin.

**Example 4****Cyclohexyl-(D)LysNMe(D)Phe-(D)Phe(4-Cl)-piperazine-3,4-dichlorophenyl**

HATU (39mg, 0.1mmol) was added to a stirred solution of cyclohexyl-(D)Lys(BOC)-  
 5 NMe(D)Phe(4-Cl)-OH (70mg, 0.1mmol), diisopropylethylamine (70μl, 4 equivalents) and  
 dichlorophenyl piperazine (24mg, 0.1mmol) in DMF (4ml). After 1 hour at ambient  
 temperature, RP-HPLC indicated that most of the acid had been consumed and a new peak,  
 retention time 30.58 minutes (column and gradient as described at the bottom of this example)  
 had been formed. The solvent was evaporated in vacuo, and the residue was dissolved in a  
 10 mixture of TFA (9ml), water (1ml) and triethylsilane (0.3ml). After 1 hour at ambient  
 temperature, excess reagents were evaporated and the crude product now dissolved in  
 acetonitrile (2ml) and water (2ml) for analytical and preparative RP-HPLC. The crude  
 product was purified using preparative RP-HPLC (Dynamax C18, 1 inch internal diameter),  
 using a gradient of acetonitrile-water containing 0.1% TFA (20-90% acetonitrile) over 60  
 15 minutes at a flow-rate of 12ml/minute. Fractions which contained the title product (eluting at  
 50 minutes) were combined and freeze-dried. Yield 69.6mg.

The product was characterised by HPLC, mass spectroscopy and amino-acid analysis.

RP-HPLC Vydac C18 column, 201HS54, 4.6x250mm, eluting with acetonitrile and  
 water containing 0.1% TFA, using a 20-60% acetonitrile gradient over 30 minutes, flow rate  
 20 1.2ml/minute, retention time 22.15 minutes, no detectable impurities; mass spectrometry, m/e  
 (positive electrospray (ES+)) 811.4 (MH+); amino acid analysis (acid hydrolysis over 24  
 hours using a solution of 6N HCl containing 1% phenol at 130°C) gave Phe(4-Cl) 0.84, Lys  
 1.00.

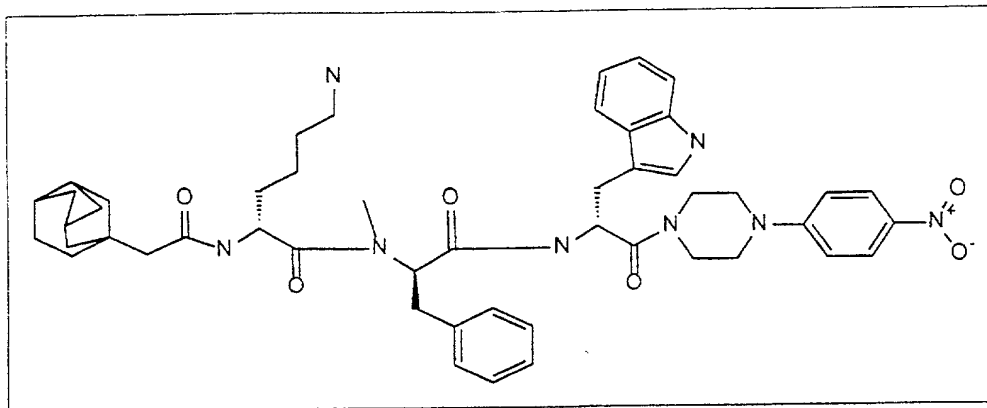
The starting material was prepared as follows:

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- Fmoc-(D)Phe(4-Cl)-OH (844mg, 2mmol) was coupled to 2-chlorotritylchloride resin (Alexis, 0.75g, 1mmol) in the presence of diisopropylethylamine (2mmol 0.35ml) in DMF (8ml) by an automated procedure on an Applied Biosystems 430A peptide synthesiser. After 1 hour at ambient temperature, the resin was filtered and washed with DMF. After
- 5 deprotection with 20% piperidine in DMF (two treatments with 15ml), the resin was — thoroughly washed with DMF (5x10ml). Fmoc-NMe(D)Phe-OH (803mg, 2mmol) was coupled to the resin-bound H-(D)Phe(4-Cl) by the standard HBTU/HOBt chemistry similar to that used in example 3. After extensive washing with DMF, the deprotection procedure described above was repeated to give H-NMe(D)Phe-(D)Phe(4-Cl)-resin. Fmoc-
- 10 (D)Lys(BOC)-OH (938mg, 2mmol) was coupled by the HATU procedure (see J Chem Soc. Chem Comm. 1994, 201). After 1 hour at ambient temperature the resin as filtered and washed with DMF. After deprotection and extensive washing with DMF, cyclohexane carboxylic acid (256mg, 2mmol) was coupled by standard HBTU/HOBt chemistry similar to that described above to the resin bound tripeptide to give cyclohexyl-(D)Lys(BOC)-
- 15 NMe(D)Phe-(D)Phe(4-Cl) on the resin. The peptide resin was collected and washed with methanol and dried, in vacuo, at 50°C. Yield 1.337g (gain 587mg).

- The peptide was cleaved from the resin using a mixture of dichloromethane (20ml), trifluoroethanol (4ml) and acetic acid (4ml) at ambient temperature. After 2 hours, the mixture was filtered and the resin was washed with a mixture of acetic acid in
- 20 dichloromethane (5%) (5x20ml). The combined filtrate and washes were evaporated to dryness and the residue was dissolved in a mixture of acetonitrile (100ml) and water (50ml) for analytical RP-HPLC and lyophilisation. Yield 604mg (86%).

- RP-HPLC Vydac C18 column, 201HS54, 4.6x250mm, eluting with acetonitrile and water containing 0.1% TFA, using a 20-80% acetonitrile gradient over 40 minutes, flow rate
- 25 1.2ml/minute, indicated 100% purity, retention time 22.05 minutes no detectable impurities.

**Example 5****Adamantylacetyl-(D)Lys-NMe(D)Phe-(D)Trp-piperazine-4-nitrophenyl**

5 Adamantylacetyl-(D)Lys(BOC)-NMe(D)Phe-(D)Trp-OH (77mg, 0.1mmol) was coupled to 4-(nitrophenyl)piperazine (21mg, 0.1mmol) by a similar procedure to that described in example 4. Analytical RP-HPLC (column and conditions as for the protected peptide acid below) indicated almost complete conversion to the amide, retention time 23.39 minutes. After deprotection with TFA and scavengers (water and triisopropylsilane), the

10 crude product was purified by preparative RP-HPLC (Dynamax C18, 1 inch internal diameter using a gradient of acetonitrile-water containing 0.1% TFA (20-70% acetonitrile) over 60 minutes at a flow rate of 12ml/minute. Fractions which contained the pure title product (eluting at 55 minutes) were combined and freeze dried. Yield 43mg.

The product was characterised by HPLC and mass spectroscopy. RP-HPLC (column

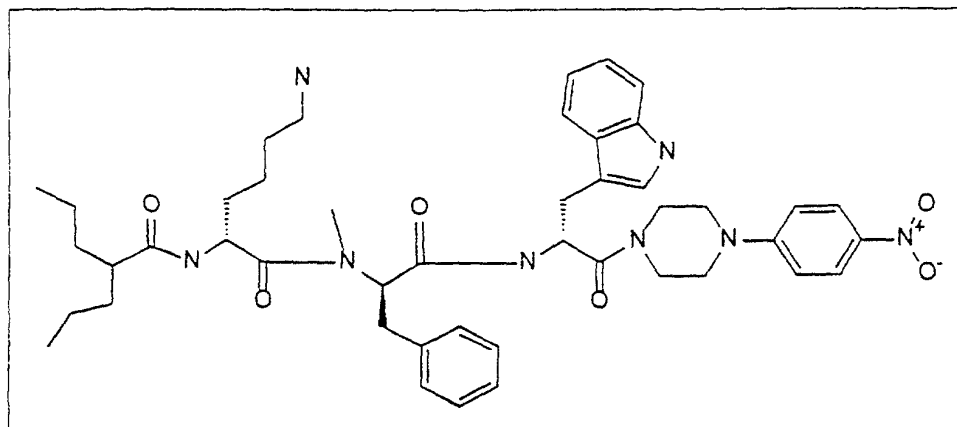
15 and conditions exactly as for previous example) indicated 100% purity, retention time 19.66 minutes; mass spectrometry  $m/e$  (positive electrospray ( $ES^+$ )) 859.6 ( $MH^+$ ).

The starting material was prepared as follows:

Adamantylacetyl-(D)Lys(BOC)-NMe(D)Phe-(D)Trp-OH was prepared by a similar method to that described in example 4, but on a smaller scale (0.4mmol). Yield 186mg

20 (60%).

RP-HPLC Vydac C18 column, 201HS54, 4.6x250mm, eluting with acetonitrile and water containing 0.1% TFA, using a 25-90% acetonitrile gradient over 40 minutes, flow rate 1.2ml/minute, retention time 19.24 minutes, no detectable impurities.

**Example 6****2-Propylpentanoyl-(D)Lys-NMe(D)Phe-(D)Trp-piperazine-4-nitrophenyl**

This title compound was prepared using a similar method to that of example 4. The  
 5 protected peptide amide had a retention time of 22 minutes on RP-HPLC (column and  
 conditions as for the protected peptide acid below). After deprotection with TFA and  
 scavengers, preparative RP-HPLC was performed exactly as described for the proceeding  
 example and fractions which contained product (eluting at 40 minutes) were combined and  
 freeze dried. Yield 93mg.

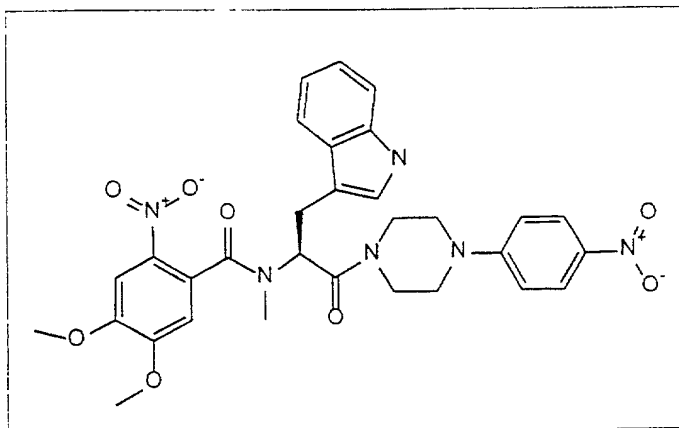
10 RP-HPLC (column and conditions as for the previous example) retention time 17.92  
 minutes; mass spectroscopy, m/e (positive electrospray (ES<sup>+</sup>) 809.6 (MH<sup>+</sup>).

The starting material was prepared as follows:

2-Propylpentanoyl-(D)Lys(BOC)-NMe(D)Phe-DTrp-OH was prepared by the same  
 method as described in example 4, but on a smaller scale (0.33mmol). Yield 248mg (82%).

15 RP-HPLC Vydac C18 column, 201HS54, 4.6x250mm, eluting with acetonitrile and water  
 containing 0.1% TFA, using a 25-90% acetonitrile gradient over 40 minutes, flow rate  
 1.2ml/minute, retention time 18 minutes, no detectable impurities.



**Example 7****4,5-Dimethoxy-2-nitrobenzoyl-NMeTrp-piperazine-4-nitrophenyl**

A portion of 4,5-dimethoxy-2-nitrobenzoyl-NMeTrp-OH (43mg, 0.1mmol) was  
5 coupled to 4-(nitrophenyl)piperazine (21mg, 0.1 mmol) in DMF (4ml) using  
diisopropylethylamine (80 microlitres, 4 equivalents) and HATU (38mg, 1mmol). The  
reaction was complete after 1 hour, as judged by HPLC. The solution was diluted with water  
(2ml), filtered and put directly on a Dynamax C18 preparative column and eluted with a  
gradient of acetonitrile - water containing 0.1% TFA (20-70% acetonitrile) over 70 minutes at  
10 a flow rate of 12ml/minute. Fractions which contained product (eluting at 65 minutes) were  
combined and freeze dried. Yield 32mg.

RP-HPLC retention time 19.83 minutes (column and conditions as for previous  
example); mass spectroscopy, m/e (negative electrospray (ES<sup>-</sup>)) 615.4 (MH<sup>-</sup>).

The starting material was prepared as follows:

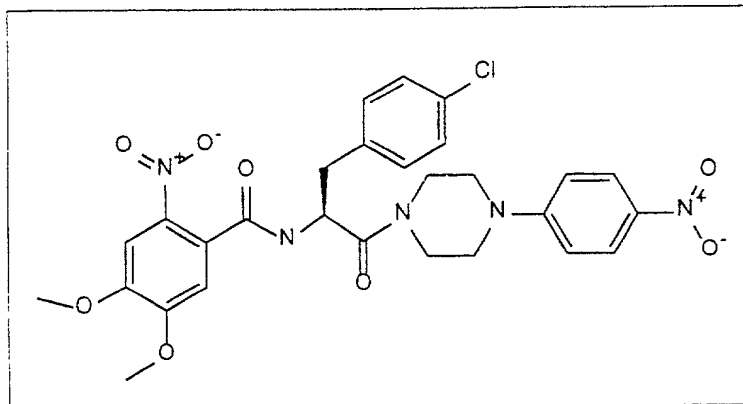
15 4,5-Dimethoxy-2-nitrobenzoyl-NMeTrp-OH was prepared from 2-chlorotritylchloride  
resin (Alexis, 380mg, 0.5mmol) by the automated procedure described in example 4. After  
coupling Fmoc-NMeTrp-OH to the resin and subsequent Fmoc-deprotection, 4,5-dimethoxy-  
2-nitrobenzoic acid was coupled to the resin-bound NMethyl-Trp by the HATU procedure.  
The completed resin was collected and washed with methanol and dried at 50°. Yield 487mg  
20 (gain 107mg).

The 4,5-dimethoxy-2-nitrobenzoyl-NMeTrp-OH was cleaved from the resin with  
dichloromethane, trifluoroethanol and acetic acid as described previously. It was freeze-dried  
from water and acetonitrile. Yield 173mg (yellow powder) (82%) RP-HPLC at 50°C, Vydac  
C18, 201HS54 column, 4.6x250mm, eluting with a gradient of 5-50% acetonitrile, 0.1% TFA

acetonitrile - water over 40 minutes, flow rate 1.3ml/minute, retention time 17.26 minutes, mass spectroscopy, m/e (negative electrospray (ES<sup>-</sup>)) 425.6 (MH<sup>-</sup>).

### Example 8

5



#### 4,5-Dimethoxy-2-nitrobenzoyl-Phe(4-Cl)-piperazine-4-nitrophenyl

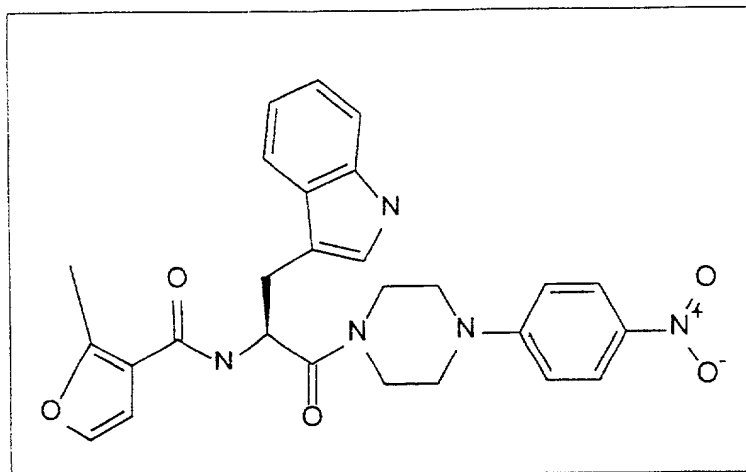
This was prepared using a similar method to that of example 7. Preparative RP-HPLC was performed using a gradient 20-80% acetonitrile, 0.1% TFA over 70 minutes. Fractions 10 which contained the title product (eluting at 59 minutes) were pooled and freeze-dried. Yield 40mg.

RP-HPLC column and element as for previous example, except that gradient 10-70% acetonitrile over 30 minutes, retention time 20.81 minutes; mass spectroscopy, m/e (negative electrospray (ES<sup>-</sup>)) 596.3 (MH<sup>-</sup>).

15 The starting material was prepared as follows:

4,5-Dimethoxy-2-nitrobenzoyl-Phe(4-Cl)-OH was prepared using a similar method to that of example 7. Yield 203mg (100%).

RP-HPLC Vydac C18 column, 201HS54 4.6x250mm, eluting with a gradient 10-70%, 0.1% TFA acetonitrile-water over 40 minutes, flow rate 1.2ml/minue, retention time 178.5 20 minutes.

**Example 9****2-Methyl-3-furoyl-Trp-piperazine-4-nitrophenyl**

The aminolysis of 2-methyl-3-furoyl-Trp-O-Kaiser's oxime resin was carried out at 80°C in trichloroethane for 2 hours with 1 equivalent of 4-nitrophenylpiperazine and acetic acid (1-2 molar). The mixture was filtered and the resin was washed repeatedly with dichloromethane. The combined filtrate and washings were evaporated to dryness and the residue was dissolved in water (4ml) and acetonitrile (4ml) for HPLC and LC-MS, then freeze dried. Yield 29.8mg.

10 RP-HPLC column and elements as for previous examples, gradient 5-70%, 0.1% TFA acetonitrile -water over 40 minutes, flow 1.2ml/minute. Indicated that main peak (retention time 25.5 minutes) was expected product, (65.7% by peak integration at 230nm). LC MS MH<sup>+</sup> for main peak 502.3 (calc 501.55 mw).

The starting material was prepared as follows:

15 BOC-Trp-OH (4.6g, 15 mmol) was dissolved in dichloromethane (30ml) containing DMF (3ml) and diisopropylcarbodiimide (0.95g, 7.5mmol) was added. After 20 minutes at ambient temperature, the solution of preformed symmetrical anhydride was added to a bond-elute tube (80ml) containing Kaiser's oxime resin (5g, 1.21 mmol/g, 6mmol), which was already swollen in dichloromethane. After manual mixing for 5 minutes, dimethylamino  
20 pyridine (92mg, 0.75 mmol) was added and mixing continued at intervals over 2 hours. The resin was filtered and washed repeatedly with dichloromethane. Unreacted sites on the resin were then "capped" with 2,6-dichlorobenzoylchloride (0.63g, 3mmol) in dichloromethane

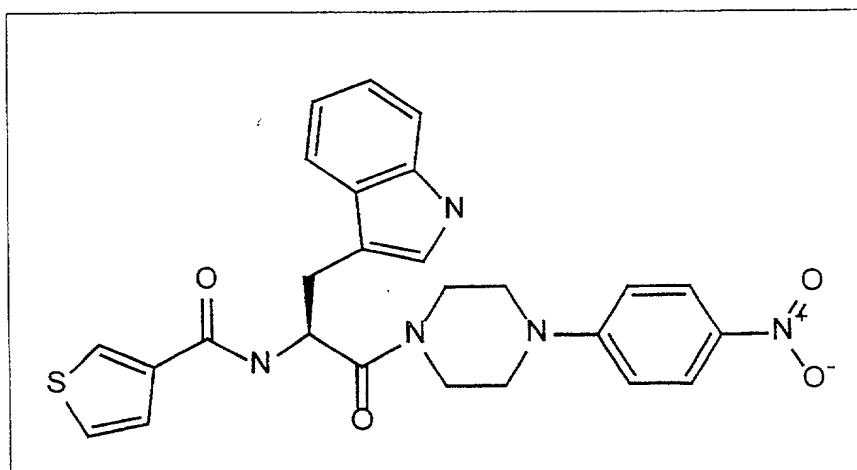
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(30ml). After 1 hour at ambient temperature, the resin was filtered and washed with dichloromethane.

Deprotection was performed with 25% TFA in dichloromethane containing triisopropylsilane (5%). Three separate treatments carried out for 10 minutes each to ensure complete removal of BOC group. After extensive washing with dichloromethane to ensure most of the surplus TFA had been removed, the resin was divided into roughly equal portions, into 50 bond elute tubes (3ml) to give 0.12 mmol of TFA salt of Trp-O-oxime resin per tube.

To one of these portions of loaded resin, was added 2-methyl-3-furoic acid (64mg, 0.5mmol) dissolved in a solution of HBTU in DMF (0.33M, 1.5ml, 1 equivalent), followed after brief mixing, by isopropylethylamine (263 microlitre, 1.5mmol). After 5 hours at ambient temperature, the resin was filtered and washed with DMF to afford 2-methyl-3-furoyl-Trp-O-oxime resin.

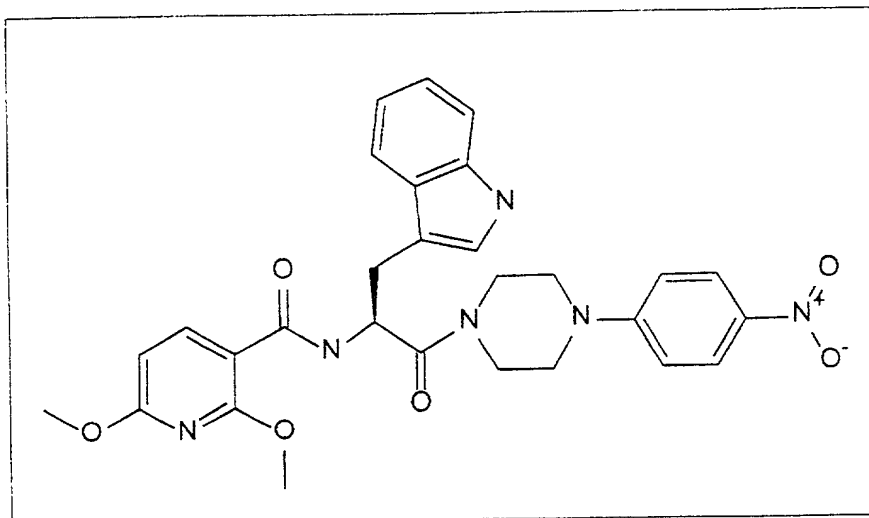
#### Example 10



#### Thien-3-ylcarbonyl-Trp-piperazine-4-nitrophenyl

The title compound was prepared by substitution of thiophene-3-carboxylic acid for the furoic acid in example 9, and the title compound was obtained by freeze drying. Yield 41mg.

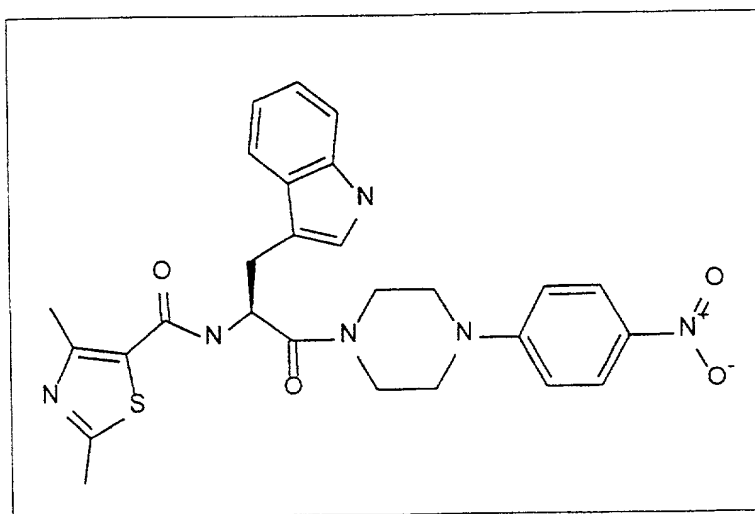
RP-HPLC column and conditions as described in the previous example. Retention time 24.78 minutes, 77% by peak integration at 230nm. LC MS  $M+H^+$  for main peak 504.2.

**Example 11****2,6-Dimethoxynicotinoyl-Trp-piperazine-4-nitrophenyl**

The title compound was prepared by substitution of 2,6-dimethoxynicotinic acid for the furoic acid in example 9. Yield 39.5mg.

RP-HPLC column and conditions as described in examples above.

Retention time 27.9 minutes, 78% by peak integration at 230nm. LC MS  $MH^+$  for main peak 559.6.

**10 Example 12****2,4-Dimethylthiazol-5-ylcarbonyl-(L)-Trp-4-piperazine-4-nitrophenyl**

This preparation was performed as part of a run on the Abimed AMS432 multiple parallel peptide synthesiser (MPPS) synthesiser:

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BOC-(L)-Trp-oxime resin (100mg 110 $\mu$ mol) (prepared as in example 9) was placed in a reactor (8ml Bond-Elut). The instrument had been programmed to swell the resin by rinsing with dichloromethane. The resin was next treated 4 x 10 minutes with 25% TFA in dichloromethane which removes the BOC group. The resin was rinsed 6 times for 1 minute with dichloromethane, followed by 6 rinses for 1 minute with DMF. 2,4-Dimethylthiazole-5-carboxylic acid DIPEA (1000  $\mu$ l of 0.5M) in NMP (1.0M ) and HBTU in NMP (1100 $\mu$ l 0.45M) were added to the resin. The mixture was stirred briefly by hand then allowed to proceed for 1hour. The reaction mixture was drained and the resin rinsed 6 times with DMF and 3 times with dichloromethane and allowed to air dry to give 2,4-dimethylthiazole-5-carbonyl-(L)-Trp-oxime resin.

The resin was transferred to a sealed reaction vessel and suspended in TCE (2ml) . The suspension was treated with 1ml of a solution containing N-(4-nitrophenyl)-piperazine (100  $\mu$ mol) and glacial acetic acid (400  $\mu$ mol). The reaction vessel was sealed then left overnight in an oven at 75-80°C. After the overnight reaction, the reaction mixture was filtered, the residual resin was rinsed 4 times with DMSO (0.5ml). The combined filtrates were evaporated to dryness on a centrifugal evaporator and the residue was re-dissolved in DMSO (5ml ca 20mM solution). The solution was stored frozen at -20°C.

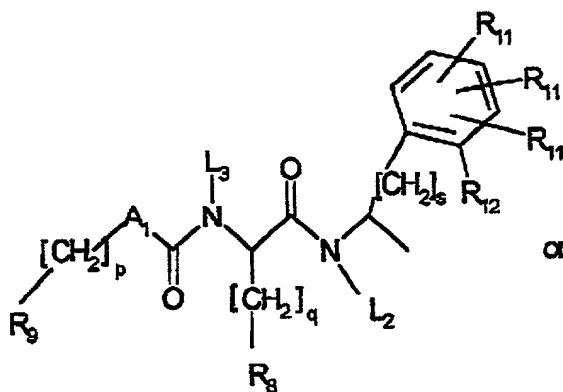
A sample of the solution was diluted 50 times with water to provide a sample for LC-MS analysis:

20            Theory mH<sup>+</sup> = 533.6            Found mH<sup>+</sup> = 533.1



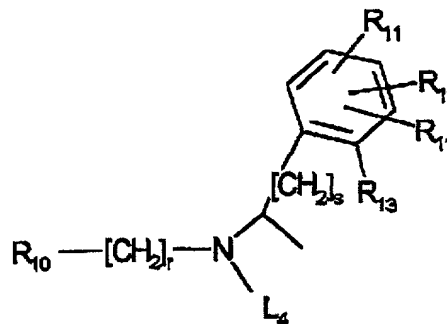
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wherein  $R_7$  is either a group of formula (2) of formula (3):



Formula (2)

or



Formula (3)

wherein:

$L_2$ ,  $L_3$  and  $L_4$  are each independently hydrogen or methyl;

$R_8$  is amino, guanidino, imidazo, any of which can be mono or di- $N$ -substituted with  $C_{1-4}$ alkyl;

$A_1$  is oxygen or a direct bond;

$R_9$  is a  $C_{5-8}$  membered mono-carbocyclic ring, a  $C_{6-10}$  membered bi-carbocyclic ring,  $C_{8-12}$  membered tri-carbocyclic ring,  $C_{5-7}$ alkyl or aryl, any of which can be optionally mono, bi or tri substituted by  $C_{1-4}$  alkyl;

$R_{10}$  is  $C_{1-6}$ alkyl or a  $C_{3-8}$ mono-carbocyclic ring;

$R_{11}$  is hydrogen, halo,  $C_{1-4}$ alkyl, or  $C_{1-4}$ alkoxy;

$R_{12}$  is hydrogen or methyl or ethyl or  $R_{12}$  together with  $L_2$  forms a  $C_{5-7}$  nitrogen-containing heterocyclic ring;

$R_{13}$  is hydrogen or methyl ethyl or  $R_{13}$  together with  $L_4$  forms a  $C_{5-7}$  nitrogen-containing heterocyclic ring;

$n$  is 0, 1 or 2;

$p$  is 0, 1 or 2;

$q$  is an integer from 1 to 6

$r$  is 0, 1 or 2;

$s$  is 0, 1 or 2;

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provided that when  $R_6$  is aryl, heteroaryl, heterocyclyl, amino $C_{3-6}$ alkyl,  $N$ -( $C_{1-4}$ alkyl)amino $C_{3-6}$ alkyl or  $NN$ -(di $C_{1-4}$ alkyl)amino $C_{3-6}$ alkyl then  $R_5$  is other than  $R_6CH_2-$ ; and when  $R_1$  to  $R_3$  are each hydrogen,  $L_1$  is hydrogen,  $n$  is 1,  $R_4$  is phenyl,  $R_5$  is  $R_6C(O)-$ , then  $R_6$  cannot be 2-methyl-4-amino-butyl, and excluding (S)-4-chloro-N-[1-(1H-indol-3-ylmethyl)-2-oxo-2-(4-phenyl-1-piperazinyl)ethyl]-N-methyl-benzamide; or a pharmaceutically acceptable salt, prodrug or solvate thereof.

2. A compound according to claim 1 wherein  $R_5$  is hydrogen.
3. A compound according to claim 2 wherein  $R_1$  is hydrogen;  $R_2$  is nitro;  $R_3$  is hydrogen;  $n$  is 1;  $R_4$  is indole,  $N$ -( $C_{1-4}$  alkyl)indole, cyclohexyl or phenyl any of which can be optionally substituted on ring carbon atoms with up to three substituents each independently selected from halo,  $C_{1-4}$ alkyl, or  $C_{1-4}$ alkoxy,  $L_1$  is hydrogen and  $R_5$  is hydrogen.
4. A compound according to claim 1 wherein  $R_5$  is  $R_6C(O)-$ ;  $R_6$  is aryl, heteroaryl, heterocyclyl, amino $C_{3-6}$ alkyl,  $N$ -( $C_{1-4}$ alkyl)amino $C_{3-6}$ alkyl, or  $NN$ -(di $C_{1-4}$ alkyl)amino $C_{3-6}$ alkyl, wherein the aryl, heteroaryl or heterocyclyl rings can be optionally substituted on ring carbon atoms with up to three substituents each independently selected from nitro, halo,  $C_{1-4}$ alkyl, or  $C_{1-4}$ alkoxy.
5. A compound according to claim 4 wherein  $R_1$  is hydrogen;  $R_2$  is nitro;  $R_3$  is hydrogen;  $n$  is 1;  $L_1$  is hydrogen; and  $R_6$  is aryl or heteroaryl wherein the aryl or heteroaryl can be optionally substituted on ring carbon atoms with up to three substituents each independently selected from nitro, halo,  $C_{1-4}$ alkyl, or  $C_{1-4}$ alkoxy.
6. A compound according to claim 1 wherein  $R_6$  is  $R_7$ .

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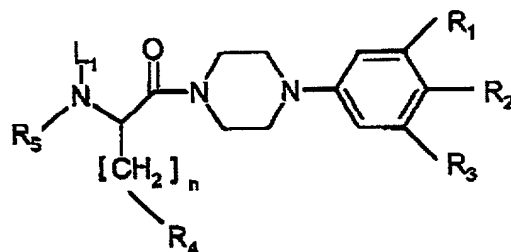
7. A compound according to claim 6 wherein  $R_7$  is of Formula (2)
8. A compound according to claim 7 wherein  $R_1$  is hydrogen;  $R_2$  is nitro;  $R_3$  is hydrogen;  $n$  is 1;  $L_1$  is hydrogen;  $L_2$  is hydrogen or methyl;  $q$  is an integer between 2 and 4;  $R_8$  is amino;  $s$  is 1 and  $L_3$  is hydrogen or methyl.
9. A compound according to claim 6 wherein  $R_7$  is of Formula (3).
10. A compound according to claim 9 wherein  $R_1$  is hydrogen;  $R_2$  is nitro;  $R_3$  is hydrogen;  $n$  is 1;  $s$  is 1 and  $L_1$  is hydrogen,
11. A compound selected from the following:  
4,5-dimethoxy-2-nitrobenzoyl-Phe(4-Cl)-piperazine-4-nitrophenyl;  
4,5-dimethoxy-2-nitrobenzoyl-NMe-Trp-piperazine-4-nitrophenyl;  
4,5-dimethoxy-2-nitrobenzoyl-(D)(N<sup>m</sup>-Me)Trp-piperazine-4-nitrophenyl;  
Z-(D)(NMe)Dab-(NMe)(D)Phe-(D)Trp-piperazine-4-nitrophenyl;  
Z-NMe(D)Lys-NMe(D)Phe-(D)Phe(4-Cl)-piperazine-4-nitrophenyl;  
cyclohexyl-CO-(D)Lys-(D)NMe-Phe-Cha-piperazine-4-nitrophenyl;  
cyclohexyl-(D)Lys-(D)(NMe)Phe-(D)Phe(4-Cl)-piperazine-4-nitrophenyl;  
cyclohexyl-CH<sub>2</sub>-(D,L)NMe-Phe{CH<sub>2</sub>NH}(D)Trp-piperazine-4-nitrophenyl; and  
cyclohexyl-(D)Lys-(D)(NMe)Phe-(D)hPhe-piperazine-4-nitrophenyl;  
or a pharmaceutically acceptable salt, prodrug or solvate thereof.
12. A pharmaceutical composition which comprises a compound according to claims 1 to 11 and a pharmaceutically acceptable carrier.
13. The use in the treatment of a warm-blooded animal, by therapy, a compound of formula (1), or a pharmaceutically acceptable salt, prodrug or solvate thereof.

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14. The use of a compound of Formula (4) in the manufacture of a medicament for the treatment of cancer in a warm-blooded animal.



formula (4)

wherein :

$L_1$  is hydrogen or methyl;

$R_1$  and  $R_2$  and  $R_3$  are each independently hydrogen, halo, nitro, cyano, carbamoyl,  $\underline{N}$ -( $C_{1-4}$ alkyl)carbamoyl,  $\underline{NN}$ -(di $C_{1-4}$ alkyl)carbamoyl or  $C_{1-4}$ alkoxycarbonyl;

$R_4$  is indole,  $\underline{N}$ -( $C_{1-4}$  alkyl) indole,  $C_{5-7}$ carbocyclic ring or aryl, any of which can be optionally substituted on ring carbon atoms with up to three substituents each independently selected from halo,  $C_{1-4}$ alkyl, or  $C_{1-4}$ alkoxy;

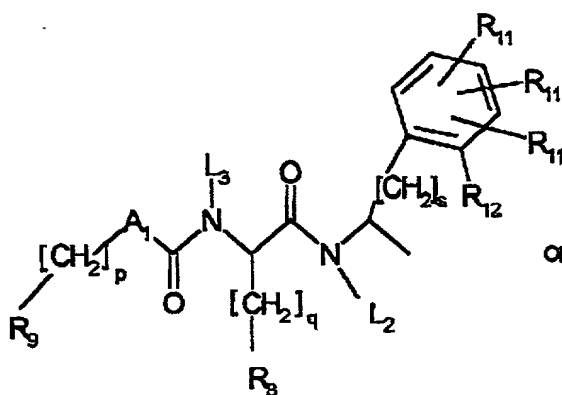
$R_5$  is hydrogen,  $C_{1-4}$ alkyl,  $R_6CH_2-$  or  $R_6C(O)-$ ;

$R_6$  is aryl, heteroaryl, heterocyclyl, amino $C_{3-6}$ alkyl,  $\underline{N}$ -( $C_{1-4}$ alkyl)amino $C_{3-6}$ alkyl,  $\underline{NN}$ -(di $C_{1-4}$ alkyl)amino $C_{3-6}$ alkyl, or  $R_7$ ; wherein the aryl, heteroaryl or heterocyclyl rings may be optionally substituted with up to three substituents independently selected from nitro,  $C_{1-4}$ alkyl,  $C_{1-4}$ alkoxy, halo, ( $C_{1-4}$ alkyl)sulfanyl,  $C_{1-4}$ alkoxycarbonyl,  $\underline{N}$ -( $C_{1-4}$  alkyl)carbamoyl,  $\underline{NN}$ -(di $C_{1-4}$  alkyl)carbamoyl,  $\underline{N}$ -( $C_{1-4}$  alkyl)amino or  $\underline{NN}$ -(di $C_{1-4}$  alkyl)amino;

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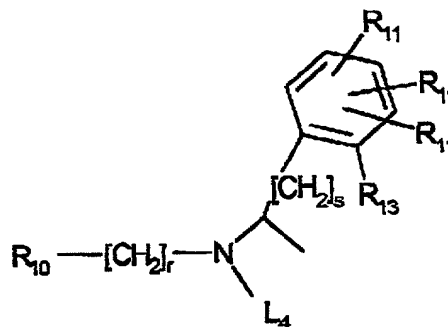
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wherein  $R_7$  is either a group of formula (5) of formula (6):



Formula (5)

or



Formula (6)

wherein:

$L_2$ ,  $L_3$  and  $L_4$  are each independently hydrogen or methyl;

$R_8$  is amino, guanidino, imidazo, any of which can be mono or di- $N$ -substituted with  $C_{1-4}$ alkyl;

$A_1$  is oxygen or a direct bond;

$R_9$  is a  $C_{5-8}$  membered mono-carbocyclic ring, a  $C_{6-10}$  membered bi-carbocyclic ring,  $C_{8-12}$  membered tri-carbocyclic ring,  $C_{5-7}$ alkyl or aryl, any of which can be optionally mono, bi or tri substituted by  $C_{1-4}$  alkyl;

$R_{10}$  is  $C_{1-6}$ alkyl or a  $C_{3-8}$ mono-carbocyclic ring;

$R_{11}$  is hydrogen, halo,  $C_{1-4}$ alkyl, or  $C_{1-4}$ alkoxy;

$R_{12}$  is hydrogen or methyl or ethyl or  $R_{12}$  together with  $L_2$  forms a  $C_{5-7}$  nitrogen-containing heterocyclic ring;

$R_{13}$  is hydrogen or methyl ethyl or  $R_{13}$  together with  $L_4$  forms a  $C_{5-7}$  nitrogen-containing heterocyclic ring;

$n$  is 0, 1 or 2;

$p$  is 0, 1 or 2;

$q$  is an integer from 1 to 6

$r$  is 0, 1 or 2;

$s$  is 0, 1 or 2;

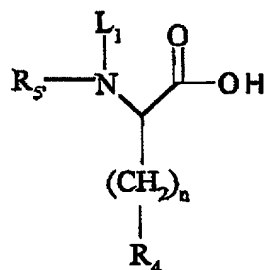
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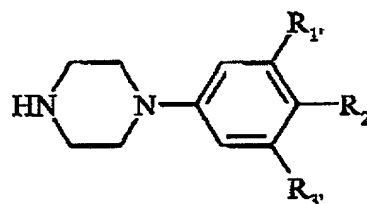
provided that when  $R_6$  is aryl, heteroaryl, heterocyclyl, amino $C_{3-6}$ alkyl,

$\underline{N}$ -( $C_{1-4}$ alkyl)amino $C_{3-6}$ alkyl or  $\underline{NN}$ -(di $C_{1-4}$ alkyl)amino $C_{3-6}$ alkyl then  $R_5$  is other than  $R_6CH_2-$ , or a pharmaceutically acceptable salt, prodrug or solvate thereof.

15. A method of treating cancers which comprises administering to a warm-blooded animal an effective amount of a compound of formula (4).
16. A pharmaceutical composition comprising a compound of formula (4), or a pharmaceutically acceptable salt, prodrug or solvate thereof, in admixture with a pharmaceutically-acceptable diluent or carrier for the treatment of cancer in a warm-blooded animal.
17. A process for preparing a compound of the formula (1) or a pharmaceutically acceptable salt, prodrug or solvate thereof which process comprises:
  - a) reacting a carboxylic acid of Formula (8) or a reactive derivative thereof with a piperazine of formula (9)



Formula (8)

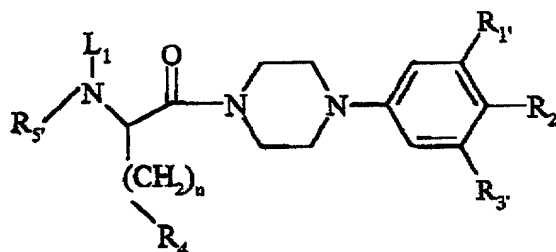


Formula (9)

wherein  $L_1$ ,  $n$ ,  $R_4$ ,  $R_1$ - $R_3$ , and  $R_5$ , are as herein above defined.

To form a compound of Formula (7)

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Formula (7)

- b) removing any protecting groups,
- c) optionally forming a pharmaceutically acceptable salt, prodrug or solvate.

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FOR UTILITY/DESIGN  
CIP/PCT NATIONAL/PLANT  
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL  
DECLARATIONS

RULE 63 (37 C.F.R. 1.63)  
DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PW  
FORM  
Z70385/UST

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the **INVENTION ENTITLED** PIPERAZINE-4-PHENYL  
**DERIVATIVES AS INHIBITORS OF THE INTERACTION BETWEEN MDM2 AND P53**

the specification of which (CHECK applicable BOX(ES))

X A. ☐ is attached hereto.  
BOX(ES) → B. ☐ was filed on \_\_\_\_\_ as U.S. Application No. \_\_\_\_\_  
→ C. ☒ was filed as PCT International Application No. PCT/GB99 / 02957 on 07.09.1999  
and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. Except as noted below, I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S) Number	Country	Day/MONTH/Year Filed	Date first Laid- open or Published	Date Patented or Granted	Priority NOT Claimed
9819860.9	GB	12.09.1998			

If more prior foreign applications, X box at bottom and continue on attached page.

Except as noted below, I hereby claim domestic priority benefit under 35 U.S.C. 119(e) or 120 and/or 365(c) of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S) Application No. (series code/serial no.)	Day/MONTH/Year Filed	Status	Priority NOT Claimed
		pending, abandoned, patented	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Winthrop LLP, Intellectual Property Group, telephone number (202) 861-3000 (to whom all communications are to be directed), and persons of that firm who are associated with USPTO Customer No. 909 (see below label) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete from that Customer No names of persons no longer with their firm, to add new persons of their firm to that Customer No., and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above Firm and/or an attorney of that Firm in writing to the contrary



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1-00  
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☒ FOR ADDITIONAL INVENTORS see attached page.

☐ See additional foreign priorities on attached page (incorporated herein by reference).

Atty. Dkt. No. P

(M#)

## DECLARATION AND POWER OF ATTORNEY

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(continued)

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*Ronald Cotton*

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12<sup>th</sup> Feb 2001.

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(7) INVENTOR'S SIGNATURE:

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City	State/Foreign Country		Country of Citizenship
Post Office Address			
(include Zip Code)			

(8) INVENTOR'S SIGNATURE:

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First	Middle Initial	Family Name	
Residence			
City	State/Foreign Country		Country of Citizenship
Post Office Address			
(include Zip Code)			

(9) INVENTOR'S SIGNATURE:

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Post Office Address			
(include Zip Code)			